CSC384: Lecture 3

- Last time
 - · DCL: syntax, semantics, proofs
 - bottom-up proof procedure

Today

- top-down proof procedure (SLD-resolution)
- perhaps start on uses of DCL
- Readings:
 - Today: 2.7; 2.8 (details in tutorial),
 - perhaps Ch.3 (excl. 3.7); we'll discuss only part
 - Next week: wrap Ch.3; start on Ch.4: 4.1-4.4/4.6

CSC 384 Lecture Slides (c) 2002, C. Boutilier

Top-Down Proof Procedure

- ■BUPP is data-driven
 - not influenced by query q, just facts and rules in KB!
 - wasteful: proves things unneeded to prove q
- ■Top-down proof procedure is query-driven:
 - focussed on deriving a specific query
- ■We'll describe a TDPP called SLD-resolution
 - Basically, the strategy implemented within Prolog
 - stands for selected linear, definite-clause resolution

SLD-Resolution (No vars)

- ■Basic intuitions:
 - suppose we have query $?q_1 \& q_2$
 - suppose we have rule $q_1 \leftarrow a \& b \& c$.
 - if we prove subgoal query ?a & b & c & q2 then we know that original query must be true
- SLD a form of backchaining or subgoaling:
 - to prove q, we look for a rule with the head q, and then attempt to prove the body of that rule; if proven, we know q must be a consequence of KB
 - Progress: when subgoals are facts!
- ■Defn: An answer clause: $yes \leftarrow q_1 \& ... \& q_m$
- ■**Defn**: An *answer*: $yes \leftarrow$.

SLD-Resolution: Algorithm (no vars)

Given query 291 & ... & 9m and a KB

- 1. Construct answer clause $yes \leftarrow q_1 \& ... \& q_m$
- 2. Until no KB-clause choosable or AC is an answer
 - (a) Select an atom ai from the current AC yes $\leftarrow a_1 \& ... \& a_k$
 - (b) Choose a clause $a_i \leftarrow b_1 \& ... \& b_n$ from KB whose head matches selected atom
 - (c) Replace a; in AC with body to obtain new AC yes $\leftarrow a_1 \& ... a_{i-1} \& b_1 \& ... \& b_n \& a_{i+1} \& ... \& a_k$

SLD-Resolution

- If we reach an answer, return YES
 - query is a logical consequence of KB
- If we find no choosable clauses, return NO
 - query not a consequence (but not necessarily false)
- A sequence of answer clauses that culminates in an answer is an SLD-derivation of the query
- Our algorithm attempts to find a derivation:
 - if it chooses incorrectly at Step 2, it may fail
 - see text for distinction between choice and selection
 - we say derivation attempt fails if we get stuck
 - how does Prolog deal with failure?

SLD: Example

```
KB: (1) a <- b & c.
   (2) b <- d&e.
   (2') b <- c.
   (3) b ← g & e.
```

(4) c <- e. (5) d.

(7) f <- a & g.

(6) e. Query: ?a

Derivation Attempt #1

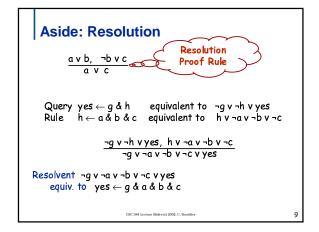
yes <- a. yes <- b & c. Select a; choose (1) yes <- q & e & c. Select b; choose (3) yes <- g & c. Select e; choose (6)

Select g: FAIL! no choosable clause

```
SLD: Example
                       Derivation Attempt #2
KB: (1) a <- b & c.
                      yes <- a.
    (2) b <- d&e.
                       yes <- b & c.
                                         Select a: choose (1)
    (2') b <- c.
                      yes <- d & e & c. Select b; choose (2)
    (3) b ← g & e.
                      yes <- e & c.
                                         Select d; choose (5)
    (4) c <- e.
                                         Select e; choose (6)
                      yes <- c.
    (5) d.
                      .
yes <- e.
                                         Select c; choose (4)
    (6) e.
                      yes <- .
                                         Select e: choose (6)
    (7) f <- a & g.
                       QUERY IS TRUE: obtained answer
Query: ?a
```

■Does atom selected to resolve away matter? • No: all must be "proven" eventually ■Does KB clause chosen to resolve with matter? • Yes: wrong choice can lead to failure • We'll talk later about backtracking/search for a proof ■Soundness: should be fairly obvious • Exercise: prove that if any body in any answer clause is a consequence of KB, then so is query (soundness follows: if we derive an answer, query holds) ■Completeness: if KB⊨ q, there is a derivation • can we find it? Yes, if we make correct choices

• How? Might have to try all options (watch for cycles)



```
■Query: ?rich(linda)

• set up answer clause: yes ← rich(linda)

• but body matches no heads in KB! How to start??

■Intuitively, rich(linda) does match the head of the rule rich(X) ← mother(X, Y) & rich(Y).

• just need to substitute constant linda for var X

• result: yes ← mother(linda, Y) & rich(Y).

■Applying constant substituition {X/linda} to rule (4) gives us an instance of rule (4):

• rich(linda) ← mother(linda, Y) & rich(Y).

• Note: this instance is clearly entailed by KB
```

```
KB:
(1) rich(joan).
(2) mother(linda,joan).
(3) mother(mary,linda).
(4) rich(X) <- mother(X,Y) & rich(Y).

Derivation:

yes <- rich(linda).
yes <- mother(linda,Y) & rich(Y).

How: Select rich(linda): resolve with (4) using {X/linda}
yes <- rich(joan).

How: Select mother(linda,Y); resolve with (2) using {Y/joan}
yes <- .

How: Select rich(joan): resolve with (1) using {}
```

SLD: Queries with vars ■Query: ?rich(Z) • set up answer clause: yes(Z) ← rich(Z) • once derivation reaches an answer, this allows us to extract an "individual" for which query holds • can't just say yes: must say "for who" ■Intuitively, rich(Z) does match the head of the rule rich(X) ← mother(X, Y) & rich(Y). • just need to substitute var Z for var X • result: yes(Z) ← mother(Z, Y) & rich(Z). ■Applying substitution {X/Z} to rule (4) gives: • rich(Z) ← mother(Z, Y) & rich(Y).

CSC 384 Lecture Slides (c) 2002, C. Boutilier

13

```
Example: SLD with vars in query
(1) rich(joan).
                                                ? rich(Z).
(2) mother(linda, joan).
(3) mother(mary,linda).
(4) rich(X) <- mother(X,Y) & rich(Y).
Derivation:
   yes(Z) \leftarrow rich(Z).
   yes(Z) \leftarrow mother(Z,Y) & rich(Y).
       Select rich(Z): resolve with (4) using \{X/Z\}
   yes(Z) \leftarrow mother(Z, joan).
       Select rich(Y); resolve with (1) using {Y/joan}
   ves(linda) <-
       Select mother(Z,joan); resolve with (2) using {Z/linda}
                      CSC 384 Lecture Slides (c) 2002, C. Boutilie
                                                                   14
```

```
KB:
(1) rich(joan).
(2) mother(linda,joan).
(3) mother(mary,linda).
(4) rich(X) <- mother(X,Y) & rich(Y).

A Different Derivation:
yes(Z) <- rich(Z).
yes(joan) <- .
Select rich(Z): resolve with (1) using {Z/joan}

Different derivations can give different answers;
Exercise: construct derivation that gives the answer "mary".
```

SLD with Variables To recap, we've seen SLD with: variables in KB, but ground queries variables in KR and variables in query Basic idea: we need to make appropriate substitutions of our variables in order to make atoms in answer clause match heads of KB rules Let's look at one more example, sticking with the "intuitive" definition of a substitution Then we'll formalize unifiers and MGUs

```
Example Derivation #1
                                          Query:
?busy(P).
1. busy(Z) <- teaches(Z,X) &
    teaches(Z,Y) & distinct(X,Y).
                                          Answer Clause:

    busy(Z) <- teaches(Z,148).</li>
    teaches(craig, 384).
    teaches(craig, 2534).

                                          yes(P) <- busy(P).
                                          Derivation:
5. teaches(kyros, 384)
                                          yes(P) <- busy(P).
yes(P) <- teaches(P,148).
6. teaches(kyros, 2501)
7. teaches(suzanne, 148)
                                             Select busy(P); resolve with
8. distinct(2534,384).
                                           ○(2) using {P/Z}
9. distinct(2501,384)
                                           ves(suzanne) <-
   distinct
                                             Select t(Z,148); resolve with
                                             (2) using {Z/P}
            Could have used
                                          Answer: suzanne
            {Z/P} instead; as
                                          (others: craig, kyros... show!)
           long as vars match
```

```
SLD-Resolution: Algorithm (w/ vars)

Given query 2q_1 \&... \& q_m with vars x_1...x_n and a KB

1. Construct answer clause yes(x_1...x_n) \leftarrow q_1 \&... \& q_m.

2. Until no KB-clause choosable or AC is an answer

(a) Select an atom a_i from the current AC yes \leftarrow a_1 \&... \& a_k

(b) Choose a clause h_i \leftarrow b_1 \&... \& b_n from KB

and a substitution \sigma that unifies the head h_i of the KB clause with the selected atom a_i (i.e., that when applied to h_i and a_i makes them the same)

(c) apply \sigma to AC and KB clause to obtain AC\sigma, KB\sigma

(d) Replace a_i\sigma in AC\sigma with body of KB\sigma to obtain new AC

(yes(x_1...x_n) \leftarrow a_1 \&... a_{i-1} \&... \& b_n \& a_{i+1} \&... \& a_k) \sigma
```

```
| Columbric Colu
```

Example Derivation #3

Assume KB fixed with rule: 12. distinct(C,D) <- distinct(D,C).

Derivation:

yes(P) <- busy(P).

yes(P) <- t(P,X) & t(P,Y) & d(X,Y).

busy(P): (1): {Z/P}

yes(craig) <- t(craig,Y) & d(384,Y).

t(P,X): (3): {P/craig, X/384}

yes(craig) <- d(384,2534).

t(c,Y): (4): {X/2534}

yes(craig) <- d(2534,384).

d(384,2534): (12): {C/384, D/2534}

yes(craig) <- d(2534,384).

d(2534,384): (8): {}

CSC 384 Lecture Slides (c) 2002, C. Boutilier

20

Substitutions

- ■Defn: A substitution σ is any assignment of terms to variables
 - we write it like as $\sigma = \{X/t1, Y/t2, ...\}$
 - constant substitution is a special case; terms can be any terms (nonground included)
 - ■without functions, only terms are constants,vars
 e.g., σ = {X/craig, Y/father(craig), Z/P, W/father(X)}
- A substitution is applied to an expression by uniformly and simultaneously substituting each term for the corresponding variable
 - e.g. using subst. above on related(mother(X),W) gives related(mother(craig), father(X))

CSC 384 Lecture Slides (c) 2002, C. Boutilier

_ .g.,

Unifiers

- ■Defn: A substitution unifies two expressions e_1 and e_2 iff $e_1\sigma$ is identical to $e_2\sigma$
- ■E.g., p(X,f(a)) and p(Y, f(Z)) are unified by:
 - {X/b, Y/b, Z/a}: gives p(b,f(a)) for both expressions
 - $\{X/Y, Z/a\}$: gives p(Y,f(a)) for both expressions
 - {X/Z, Y/Z, Z/a}: gives p(Z,f(a)) for both expressions
- •Unifier σ is a **most general unifier (MGU)** of e_1 and e_2 iff $e_1\sigma'$ is an *instance of* (unifies with) $e_1\sigma$ for any other unifier σ'
 - An MGU gives the most general instance of an expression; any other unifier gives a result that would unify with that given by the MGU

CSC 384 Lecture Slides (c) 2002, C. Boutilie

22

MGUs: Examples

- ■Let $e_1 = busy(X)$, $e_2 = busy(Y)$
- ■Unifier σ₁: {X/kyros, Y/kyros}
- result: $e_1\sigma_1 = e_2\sigma_1 = \text{busy(kyros)}$
- ■Unifier σ₂: {X/craig, Y/craig}
 - result: $e_1\sigma_2 = e_2\sigma_1 = \text{busy(craig)}$
- ■Unifier σ₃: { Y/X }
 - result: $e_1\sigma_3 = e_2\sigma_3 = \text{busy}(X)$
- ■Unifier σ_3 an MGU of expressions; not σ_1 , σ_2
 - e₁σ₃ unifies with result of any other unifier
 - $e_1\sigma_1 = busy(kyros)$ **cannot** (e.g., cannot unify $e_1\sigma_1$ with $e_2\sigma_1 = busy(craig)$)

84 Lecture Slides (c) 2002, C. Boutilier

23

Notes on General SLD Resolution

- Generally insist that you only use MGUs in SLD resolution to match a body atom with a KB head
 - ensures we don't make too specific a choice and force us into failure unnecessarily
- To obtain all answers:
 - once we derive an answer, we pretend the derivation failed and backtrack to find other derivations
 - we only reconsider KB-clause choices, not atom selections, or unifier choice

SC 384 Lecture Slides (c) 2002, C. Boutilie

Notes on General SLD Resolution

- ■Prolog (see Appendix B, Ch3.2, Ch3.3)
 - based on SLD-resolution
 - searches for derivations using a specific strategy: (a) always selects atoms from answer clause in left-toright order; (b) always chooses KB clauses in top-tobottom order (using first unifiable rule/fact)
 - records choices and tries alternatives if failure (essentially does depth-first search: why?)
 - provides a single answer for nonground queries; but you can force it to search for others (semicolon op)

CSC 384 Lecture Slides (c) 2002, C. Boutilier

25

```
KB:
(1) rich(joan).
(2) mother(linda,joan).
(3) mother(mary,linda).
(4) rich(X) <- mother(X,Y) & rich(Y).

Derivation:
yes <- rich(mary).
yes <- mother(mary,Y) & rich(Y).
rich(mary):
(4): (X/mary)
yes <- mother(mary,X) & mother(X,X) & rich(X).
rich(Y): (4) using {Y/X}

Must fail! Nobody (in our KB) is their own mother!
```

CSC 384 Lecture Slides (c) 2002, C. Boutilier

Renaming of Variables

- When we add body of KB clause to answer clause, we may have accidental name conflicts
 - in example, Y in answer clause is not "same person" as Y in KB clause (yet both replaced by X)
- ■To prevent problems, we always rename vars in KB clause (uniformly) to prevent clashes
 - changing var names in KB clause cannot change meaning
- System: (a) each clause has diff. vars; (b) index KB vars, increase with each use of the clause
 - use rich(X_i) <- mother(X_i,Y_i) & rich(Y_i). i-th time you use this clause in a derivation

CSC 384 Lecture Slides (c) 2002, C. Boutilier

27

Renaming of Variables: Example

```
KB:

(1) rich(joan).
(2) mother(linda,joan).
(3) mother(mary,linda).
(4) rich(X) <- mother(X,Y) & rich(Y).

Derivation:

yes <- rich(mary).

yes <- mother(mary,Y<sub>1</sub>) & rich(Y<sub>1</sub>).

rich(mary):
(4): {X<sub>1</sub>/mary}

yes <- mother(mary,X<sub>2</sub>) & mother(X<sub>2</sub>,Y<sub>2</sub>) & rich(Y<sub>2</sub>).

rich(Y<sub>1</sub>): (4) using {Y<sub>1</sub>/X<sub>2</sub>}

etc... (no conflict now)
```

DCL: How can we use it?

- Query-answering system:
 - given KB representing a specific domain, use DCL (and suitable proof procedure) to answer questions
- ■A Deductive Database System
 - much like the above
- ■A Programming Language
 - Prolog (we've seen) is a dressed up DCL using SLD
 - Important to realize that as a programming language, we are still making logical assertions and proving logical consequences of these assertions

CSC 384 Lecture Slides (c) 2002, C. Boutilier

29

Prolog List Operations

- A distinguishing feature of Prolog is its built-in facilities for *list manipulation*
 - not hacks, but genuine logical assertions/derivations
- Consider the function cons, constant el:
 - cons accepts two args, returns pair containing them
 - e.g, cons(a,b), cons(a,cons(b,c))
 - el is a constant denoting the empty list
- A proper list is either el or a pair whose second element is a proper list
 - cons(a, cons(b, cons(c, el))) = (a b c) or [a,b,c]

CSC 384 Lecture Slides (c) 2002, C. Boutilier

30

Prolog List Operations

- ■Prolog uses a more suggestive notation:
 - [] is a constant symbol (empty list)
 - [|] is a binary function symbol: infix notation (cons)
 - [a,b,c] shorthand for [a | [b | [c | []]]]
- ■But these are just terms in DCL
- Standard list manipulation operations correspond to logical assertions
 - e.g., the usual definition of append(X,Y,Z) simply defines what it means for Z to be the appending of X and Y

CSC 384 Lecture Slides (c) 2002, C. Boutilier

31

Defining Append

```
(A1) append([], Z, Z).
```

```
(A2) append([E1 | R1], Y, [E1 | Rest]) <-
append(R1, Y, Rest).
```

CSC 384 Lecture Slides (c) 2002, C. Boutilier

32

Proving the Append Relation #1

Answer: yes

cture Slides (c) 2002, C. Boutilier

Proving the Append Relation #2

```
Query: ? append([a,b], [c,d], [g, b,c,d]).

(A1) append([], Z, Z).

(A2) append([E1 | R1], Y, [E1 | Rest]) <-
append(R1, Y, Rest).

Derivation:
yes <- append([a,b], [c,d], [f,b,c,d]).

No append rule can unify with this atom
(convince yourself: look at E1)

Answer: no
```

SC 384 Lecture Slides (c) 2002, C. Boutilier

34

Proving the Append Relation #3

Proving the Append Relation

- Exercise: Give derivations for at least two other answers for the previous query:
- Query: ? append(L, M, [a,b,c,d]).
- L = [], M = [a,b,c,d]
- L = [a], M = [b,c,d]
- L = [a,b], M = [c,d]
- L = [a,b,c], M = [d]
- L = [a,b,c,d], M = []

C 384 Lecture Slides (c) 2002, C. Boutilier

DCL and Knowledge Representation

- DCL has obvious uses as a question answering system for complex knowledge
 - A key issue: how does one effectively represent knowledge of a specific domain for this purpose?
 - Unfortunately, there are generally many ways to represent a KB: some more useful (compact, natural, efficient) than others
- Let's go through a detailed example to see where choices need to be made, what the difficulties are, etc.

CSC 384 Lecture Slides (c) 2002, C. Boutilier

37

41

The Herbalist Domain

- Suppose we want to build a KB that answers queries about what sorts of homeopathic remedies we need to treat different symptoms
 - This "expert system" will underly a Web site where users can ask for advice on herbal remedies
- •We need to build a KB that represents info we have about different clients, their symptoms, treatments, etc.

CSC 384 Lecture Slides (c) 2002, C. Boutilier

38

What Functionality is Needed?

- Before designing KB, we need to know what types of queries we'll ask; do we want:
 - a) ?treatment(john,T).
 - b) ?treatment(symptom,T).
 - C) ?treatment(combination-of-symptoms, T).
 - d) ?safe(combination-of-treatments).
 - e) ?medical_records(john,R).
 - f) ?paid_bills(john).
- and so on

Lecture Slides (c) 2002, C. Boutilier

What Individuals Do We Need?

- What constants/functions will I need?
- ■Clients (people), other entities:
 - constants: joan, ming, gabrielle, greenshield...
 - functions: insurer(X), etc.
- ■Symptoms (constants): fever, aches, chills, ...
- ■Treatments:
 - constants: echinacea, mudwort, feverfew, ...
 - or maybe function: tmt(feverfew,capsule), tmt(mudwort, tincture), where we have a treatment requires a substance and a preparation
 - then we need constants for substances, preparations

CSC 384 Lecture Slides (c) 2002, C. Boutilier

40

What Individuals Do We Need?

- Diseases: do we need diseases?
 - why? why not? (our treatment philosophy will be to apply treatments to symptoms: simplicity!)
- ■Combinations of symptoms? treatments?
- ■We'll consider combinations:
- ■symptomList is a list of symptoms:
 - e.g, function: symList(symptom, SList)
 - or using Prolog notation: [aches, fever, chills]
- ■treatmentList similar:
 - [tmt(mudwort,tincture), tmt(echinacea,capsule)]

CSC 384 Lecture Slides (c) 2002, C. Boutilier

What Relations?

- Relations depend on functionality desired
- •If we ask ?treatment(john,T). we need information about john in KB (e.g., symptoms)
 - e.g.: symptom(john,fever). symptom(john,chills).
 - or: symptoms(john, [fever,chills]).
 - or maybe symptoms are relations themselves and not individuals: fever(john). chills(john).
- Maybe we don't even discuss individual clients:
 - e.g., we only ask: ?treatment(SList,TList).
- Different choices influence how you express your knowledge: some make life easy, or difficult!

CSC 384 Lecture Slides (c) 2002, C. Boutilier

Facts and Rules

- Once we've decided on suitable relations we need to populate our KB with suitable facts and rules
 - facts/rules should be correct
 - facts/rules should cover all relevant cases (which depends on the task at hand)
 - try to keep facts/rule concise (only relevant facts)
- ■For example: we can often express a zillion facts using one or two simple rules

43

Some Example Facts/Rules

■Facts about individual patients

```
Specific Visit Facts (enter into KB during exam):
 musclepain(mary,shoulders).
 slow_digestion(john).
 fever(john).
Semi-permanent Facts (persist in KB):
arthritis(ming).
hypertensive (john).
relaxed_disposition(mary).
```

44

Some Example Facts/Rules

■Rules relating treatments to symptoms

Or relate treatments to diseases, and diseases to symptoms: remedy(X,echinacea):- has_cold(X).

$$\begin{split} &\text{has_cold}(X) := fever(X) \ \& \ cough(X) \ \& \ sniffles(X). \\ &\text{has_cold}(X) := chills(X) \ \& \ cough(X) \ \& \ sniffles(X). \end{split}$$

Some Example Facts/Rules

- We might even have more general rules
 - Appropriate level of generality can make KB expression more concise

We might have general problems:
general_dig_probs(X):-slow_digestion(X).
general_dig_probs(X):-heartburn(X) & relaxed_disposition(X).
general_dig_probs(X):-gastritis(X).

and relate treatments to such classes of problems: remedy(X,cloves):- general_dig_probs(X).
remedy(X,meadowsweet):- gastritis(X).

Some Example Facts/Rules

- ■Design choice for relations, individuals can have impact on ability to prove certain things (easily)
- Suppose we want to find a treatment list for *iohn*:
 - list should cover each symptom john exhibits (in KB)
 - but how do we "collect" all the facts from the KB of the form fever(john), slow_digestion(john), etc.
 - (actually Prolog has some hacks, but SLD doesn't)
- Thus we make our lives easier by thinking of symptoms as individuals, and relating patients to a list of all symptoms
 - symptoms(john, [fever, aches, slow_digestion]).

Example Facts/Rules

- ■Let's attempt to define *treatment(S,T):* treatment list T is satisfactory for symptom list S
 - Note: it suggests new relations to specify/define
- Is this definition correct? complete? efficient? for what types of queries will it work?

```
treatment([],[]).
treatment([S1 | RestS], [T1 | RestT]):-
 treats(T1,S1),
 treatment(RestS, RestT),
 safe([T1 | RestT])
```

Example Facts/Rules

```
treatment([], []).
treatment([51 | Rest5], [T1 | RestT]):-
treats(T1,51),
treatment(Rest5, RestT),
safe([T1 | RestT]).
```

- ?treatment([aches,fever], T): is this defn OK?
- ■?treatment([aches,fever], [ech,mudwort]): OK?
 - what if ech treats fever and mudwort treats aches?
 - must rewrite to make order-independent
- Final Tlist is safe if no nasty interactions:
 - why is this definition inefficient?
 - why prove for each sublist? how would you rewrite it?
 - could proving it each time make sense (for Prolog)?
 - Exercise: define a version of the safe predicate

CSC 384 Lecture Slides (c) 2002, C. Boutilier

40

KB Design: The Moral

- ■There are many design choices
- ■The queries you plan to ask influence the way you break the world into individuals and relations
- ■Even with fixed functionality, there are often several ways to approach the problem
- Different approaches lead to more or less natural, efficient, and compact KBs

CSC 384 Lecture Slides (c) 2002, C. Boutilier