

# Nested Radicals

And Other Infinitely Recursive Expressions

Michael M<sup>C</sup>Guffin

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The Pure Math Club  
University of Waterloo

# Outline

1. Introduction
2. Derivation of Identities
  - 2.1 Constant Term Expansions
  - 2.2 Identity Transformations
  - 2.3 Generation of Identities Using Recurrences
3. General Forms
4. Selected Results from Literature

# 1. Introduction

## Examples of Infinitely Recursive Expressions

### Series

$$e = 1 + \frac{1}{1!} + \frac{1}{2!} + \frac{1}{3!} + \frac{1}{4!} + \dots$$

### Infinite Products

$$\pi/2 = \frac{2}{1} \times \frac{2}{3} \times \frac{4}{3} \times \frac{4}{5} \times \frac{6}{5} \times \dots$$

### Continued Fractions

$$e - 1 = 1 + \frac{2}{2 + \frac{3}{3 + \frac{4}{4 + \frac{5}{5 + \dots}}}}$$

$$4/\pi = 1 + \frac{1}{2 + \frac{3^2}{2 + \frac{5^2}{2 + \frac{7^2}{2 + \dots}}}}$$

## Infinitely Nested Radicals (or Continued Roots)

$$K = \sqrt{1 + \sqrt{2 + \sqrt{3 + \dots}}}$$

## Exponential Ladders (or Towers)

$$2 = (\sqrt{2})^{(\sqrt{2})^{(\sqrt{2})^{\dots}}}$$

## Hybrid Forms

$$4 = 2^{\sqrt{2^{\sqrt{2^{\sqrt{2}^{\dots}}}}}}$$

$$\frac{1}{2} = \frac{1}{\frac{1}{\frac{1}{\dots} + 1 + \frac{1}{\dots}} + 1 + \frac{1}{\frac{1}{\frac{1}{\dots} + 1 + \frac{1}{\dots}}}}$$

## Questions:

- Does the expression converge ? Are there *tests*, or necessary/sufficient conditions for convergence ? Examples:
  - For series,
    - \* Terms must go to zero
    - \* d'Alembert-Cauchy Ratio Test, Cauchy *n*th Root Test, Integral Test, ...
  - For infinite products,
    - \* Terms must go to a value in  $(-1,1]$
  - For infinitely nested radicals,
    - \* Terms can grow ! (But how fast ?)
- What does the expression converge to ? Are there formulae or identities we can use to evaluate the limit?  
Example: when  $-1 < r < 1$ ,

$$\frac{a}{1-r} = a + ar + ar^2 + ar^3 + \dots$$

## 2.1 Constant Term Expansions

Assume that

$$\sqrt{a + b\sqrt{a + b\sqrt{a + \dots}}}$$

converges when  $a \geq 0$  and  $b \geq 0$ , and let  $L$  be the limit.

Then

$$L = \sqrt{a + b\sqrt{a + b\sqrt{a + \dots}}}$$

$$L = \sqrt{a + bL}$$

$$L^2 - bL - a = 0$$

$$L = \frac{b + \sqrt{b^2 + 4a}}{2}$$

Hence

$$\sqrt{a + b\sqrt{a + b\sqrt{a + \dots}}} = \frac{b + \sqrt{b^2 + 4a}}{2}$$

Observation: when  $a = 0$ , we get

$$\sqrt{0 + b\sqrt{0 + b\sqrt{0 + \dots}}} = \frac{b + \sqrt{b^2 + 4(0)}}{2}$$

$$\sqrt{b\sqrt{b\sqrt{b\sqrt{\dots}}}} = b$$

This makes sense since

$$\begin{aligned}\sqrt{b\sqrt{b\sqrt{b\sqrt{\dots}}}} &= \sqrt{b}\sqrt{\sqrt{b}\sqrt{\sqrt{b}\dots}} \\ &= b^{\frac{1}{2}}b^{\frac{1}{4}}b^{\frac{1}{8}}\dots \\ &= b^{\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots} \\ &= b^1\end{aligned}$$

Similarly, assume that

$$a + \frac{b}{a + \frac{b}{a + \frac{b}{a + \dots}}}$$

converges when  $a > 0$  and  $b \geq 0$ , and let  $L$  be the limit. Then

$$L = a + \frac{b}{a + \frac{b}{a + \frac{b}{a + \dots}}}$$

$$L = a + \frac{b}{L}$$

$$L^2 - aL - b = 0$$

$$L = \frac{a + \sqrt{a^2 + 4b}}{2}$$

Hence

$$a + \frac{b}{a + \frac{b}{a + \frac{b}{a + \dots}}} = \frac{a + \sqrt{a^2 + 4b}}{2}$$

But as we saw earlier,

$$\sqrt{a + b\sqrt{a + b\sqrt{a + \dots}}} = \frac{b + \sqrt{b^2 + 4a}}{2}$$

Therefore,

$$\sqrt{a + b\sqrt{a + b\sqrt{a + \dots}}} = b + \frac{a}{b + \frac{a}{b + \frac{a}{b + \dots}}} = \frac{b + \sqrt{b^2 + 4a}}{2}$$

In addition, setting  $a = b = 1$ , we get

$$\sqrt{1 + \sqrt{1 + \sqrt{1 + \dots}}} = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \dots}}} = \frac{1 + \sqrt{5}}{2}$$

which is equal to the golden ratio  $\phi$ .

Now assume that

$$\sqrt[n]{a + b \sqrt[n]{a + b \sqrt[n]{a + \dots}}}$$

converges when  $a \geq 0$  and  $b \geq 0$ , and let  $L$  be the limit.

Then

$$L = \sqrt[n]{a + b \sqrt[n]{a + b \sqrt[n]{a + \dots}}}$$

$$L = \sqrt[n]{a + bL}$$

$$L^n - bL - a = 0$$

Let  $\alpha = a/L^n$  and  $\beta = b/L^{n-1}$ . Then  $a = \alpha L^n$ ,  $b = \beta L^{n-1}$  and

$$L^n - \beta L^n - \alpha L^n = 0$$

$$1 - \beta - \alpha = 0$$

$$\beta = 1 - \alpha$$

yielding

$$L = \sqrt[n]{\alpha L^n + \beta L^{n-1} \sqrt[n]{\alpha L^n + \beta L^{n-1} \sqrt[n]{\alpha L^n + \dots}}}$$

## 2.2 Identity Transformations

Pushing terms through radicals,

$$\begin{aligned}
 \frac{b + \sqrt{b^2 + 4a}}{2} &= \sqrt{a + b\sqrt{a + b\sqrt{a + b\sqrt{a + \dots}}}} \\
 &= \sqrt{a + \sqrt{ab^2 + b^3\sqrt{a + b\sqrt{a + \dots}}}} \\
 &= \sqrt{a + \sqrt{ab^2 + \sqrt{ab^6 + b^7\sqrt{a + \dots}}}} \\
 &= \sqrt{a + \sqrt{ab^2 + \sqrt{ab^6 + \sqrt{ab^{14} + \dots}}}} \\
 &= \sqrt{\left(\frac{a}{b^2}\right)b^2 + \sqrt{\left(\frac{a}{b^2}\right)b^4 + \sqrt{\left(\frac{a}{b^2}\right)b^8 + \sqrt{\left(\frac{a}{b^2}\right)b^{16} + \dots}}}
 \end{aligned}$$

Set  $\alpha = a/b^2$ . Then

$$\begin{aligned}
 \sqrt{\alpha b^2 + \sqrt{\alpha b^4 + \sqrt{\alpha b^8 + \sqrt{\alpha b^{16} + \dots}}}} &= \frac{b + \sqrt{b^2 + 4a}}{2} \\
 &= \frac{b + \sqrt{b^2 + 4\alpha b^2}}{2} \\
 &= \frac{b}{2} \left(1 + \sqrt{1 + 4\alpha}\right)
 \end{aligned}$$

Setting  $\alpha = 2$ ,  $b = 1/2$ ,

$$\sqrt{\frac{2}{2^2} + \sqrt{\frac{2}{2^4} + \sqrt{\frac{2}{2^8} + \sqrt{\frac{2}{2^{16}} + \dots}}} = 1$$

$$\sqrt{\frac{2}{2^1} + \sqrt{\frac{2}{2^2} + \sqrt{\frac{2}{2^4} + \sqrt{\frac{2}{2^8} + \dots}}} = \sqrt{2}$$

This can be rewritten as

$$2^{1-2^{-1}} = \sqrt{2^{1-2^0} + \sqrt{2^{1-2^1} + \sqrt{2^{1-2^2} + \dots}}}$$

And generalized to

$$2^{1-2^k} = \sqrt{2^{1-2^{k+1}} + \sqrt{2^{1-2^{k+2}} + \sqrt{2^{1-2^{k+3}} + \dots}}}$$

Letting  $k \rightarrow -\infty$ ,

$$2 = \sqrt{\dots + \sqrt{2^{1-2^{-1}} + \sqrt{2^{1-2^0} + \sqrt{2^{1-2^1} + \dots}}}}$$

Transformations for "pushing" terms through radicals:

$$\sqrt{a_0 + b_0 \sqrt{a_1 + b_1 \sqrt{a_2 + b_2 \sqrt{a_3 + \dots}}}}$$

$$= \sqrt{a_0 + \sqrt{a_1 b_0^2} + \sqrt{a_2 b_1^2 b_0^4} + \sqrt{a_3 b_2^2 b_1^4 b_0^8} + \dots}$$

$$\sqrt[n]{a_0 + b_0 \sqrt[n]{a_1 + b_1 \sqrt[n]{a_2 + b_2 \sqrt[n]{a_3 + \dots}}}}$$

$$= \sqrt[n]{a_0 + \sqrt[n]{a_1 b_0^n} + \sqrt[n]{a_2 b_1^n b_0^{n^2}} + \sqrt[n]{a_3 b_2^n b_1^{n^2} b_0^{n^3}} + \dots}$$

## 2.3 Generation of Identities Using Recurrences

Srinivasa Ramanujan (1887-1920)

$$1 - 5 \left(\frac{1}{2}\right)^3 + 9 \left(\frac{1 \times 3}{2 \times 4}\right)^3 - 13 \left(\frac{1 \times 3 \times 5}{2 \times 4 \times 6}\right)^3 + \dots = 2/\pi$$

$$\frac{1}{1 + \frac{e^{-2\pi}}{1 + \frac{e^{-4\pi}}{1 + \frac{e^{-6\pi}}{1 + \dots}}}}} = \left( \sqrt{\frac{5 + \sqrt{5}}{2}} - \frac{\sqrt{5} + 1}{2} \right) e^{(2\pi/3)}$$

$$\left( 1 + \frac{1}{1 \times 3} + \frac{1}{1 \times 3 \times 5} + \dots \right) + \frac{1}{1 + \frac{1}{1 + \frac{2}{1 + \frac{3}{1 + \dots}}}}} = \sqrt{\frac{\pi e}{2}}$$

Problem:

$$? = \sqrt{1 + 2\sqrt{1 + 3\sqrt{1 + 4\sqrt{1 + \dots}}}}$$

Ramanujan claimed:

$$x + n = \sqrt{n^2 + x\sqrt{n^2 + (x + n)\sqrt{n^2 + (x + 2n)\sqrt{\dots}}}}$$

Setting  $n=1$  and  $x=2$  we find

$$3 = \sqrt{1 + 2\sqrt{1 + 3\sqrt{1 + 4\sqrt{1 + \dots}}}}$$

Notice

$$[a + b] = \sqrt{b^2 + a^2 + 2ab} = \sqrt{b^2 + a[a + b + b]}$$

Expanding the square-bracketed portions,

$$\begin{aligned} [x + n] &= \sqrt{n^2 + x[x + n + n]} \\ &= \sqrt{n^2 + x\sqrt{n^2 + (x + n)[x + 2n + n]}} \\ &= \sqrt{n^2 + x\sqrt{n^2 + (x + n)\sqrt{n^2 + (x + 2n)[x + 3n + n]}}} \\ &\cdot \\ &\cdot \\ &\cdot \\ &= \sqrt{n^2 + x\sqrt{n^2 + (x + n)\sqrt{n^2 + (x + 2n)\sqrt{\dots}}}} \end{aligned}$$

Basic Idea:

- Find a "telescoping" recurrence relation
- Use it to generate an infinitely recursive expression
- Hope that it converges (!)

Consider a more familiar recurrence relation

$$\left[ \frac{1}{k} \right] = \frac{1}{k(k+1)} + \left[ \frac{1}{k+1} \right]$$

Expanding the square-bracketed portions,

$$\begin{aligned} \left[ \frac{1}{n} \right] &= \frac{1}{n(n+1)} + \left[ \frac{1}{n+1} \right] \\ &= \frac{1}{n(n+1)} + \frac{1}{(n+1)(n+2)} + \left[ \frac{1}{n+2} \right] \\ &\quad \cdot \\ &\quad \cdot \\ &\quad \cdot \\ &= \frac{1}{n(n+1)} + \frac{1}{(n+1)(n+2)} + \frac{1}{(n+2)(n+3)} + \dots \end{aligned}$$

In this case, the infinite expansion is valid.

Consider the recurrence

$$\left[2^{1-2^k}\right] = \sqrt{2^{1-2^{k+1}} + \left[2^{1-2^{k+1}}\right]}$$

which expands into

$$\left[2^{1-2^k}\right] = \sqrt{2^{1-2^{k+1}} + \sqrt{2^{1-2^{k+2}} + \sqrt{2^{1-2^{k+3}} + \dots}}}$$

Next, consider the recurrence

$$\left[1 + 2^{-2^{k+1}}\right] = \sqrt{2^{1-2^{k+1}} + \left[1 + 2^{-2^{k+2}}\right]}$$

which expands into

$$\left[1 + 2^{-2^{k+1}}\right] = \sqrt{2^{1-2^{k+1}} + \sqrt{2^{1-2^{k+2}} + \sqrt{2^{1-2^{k+3}} + \dots}}}$$

How can two identities have the same right hand side but different left hand sides ? Answer: in the second identity, the infinite expansion is not valid.

Another example (this time of a valid expansion). The recurrence

$$[n! + (n + 1)!] = \sqrt{n!^2 + n! [(n + 1)! + (n + 2)!]}$$

expands into

$$[n! + (n + 1)!] = \sqrt{n!^2 + n! \sqrt{(n + 1)!^2 + (n + 1)! \sqrt{(n + 2)!^2 + \dots}}}$$

Recalling that  $\Gamma(k + 1) = k!$  for natural  $k$ , we can generalize to

$$[\Gamma(x) + \Gamma(x + 1)] = \sqrt{\Gamma^2(x) + \Gamma(x) \sqrt{\Gamma^2(x + 1) + \Gamma(x + 1) \sqrt{\dots}}}$$

### 3. General Forms

Consider a "continued power" of the form

$$a_0 + b_0(a_1 + b_1(a_2 + b_2(a_3 + \dots)^{p_2})^{p_1})^{p_0}$$

Setting  $p_j = 1$  and  $b_j = 1$ , we get a series

$$a_0 + a_1 + a_2 + a_3 + \dots$$

Setting  $p_j = 1$  and  $a_j = 0$ , we get an infinite product

$$b_0 b_1 b_2 b_3 \dots$$

Setting  $p_j = -1$ , we get a continued fraction

$$a_0 + \frac{b_0}{a_1 + \frac{b_1}{a_2 + \frac{b_2}{a_3 + \dots}}}$$

Setting  $p_j = 1$  and  $b_j = 1/c_j$ , we get an ascending continued fraction

$$a_0 + \frac{a_1 + \frac{a_2 + \frac{a_3 + \dots}{c_2}}{c_1}}{c_0}$$

Setting  $p_j = 1/n$ , we get a nested radical

$$a_0 + b_0 \sqrt[n]{a_1 + b_1 \sqrt[n]{a_2 + b_2 \sqrt[n]{a_3 + \dots}}}$$

Setting  $p_j = -1/n$ , we get a hybrid form

$$a_0 + \frac{b_0}{\sqrt[n]{a_1 + \frac{b_1}{\sqrt[n]{a_2 + \frac{b_2}{\sqrt[n]{a_3 + \dots}}}}}}$$

Observation: series, infinite products, continued fractions and nested radicals are all special cases of this generalized "continued power" form !

Question: can another general form be found for which exponential ladders are also a special case ?

We can imagine constructing the expression

$$a_0 + b_0(a_1 + b_1(a_2 + b_2(a_3 + \dots)^{p_2})^{p_1})^{p_0}$$

by starting with a "seed" term and repeating the following steps:

- Raise to the exponent  $p_j$
- Multiply by  $b_j$
- Add  $a_j$

Of these 3 operations, only the first is non-commutative. What if we change the ordering of the operands in the first step ? Then we would construct an expression like

$$a_0 + b_0 p_0^{a_1 + b_1 p_1^{a_2 + b_2 p_2^{a_3 + \dots}}}$$

Setting  $a_j = 0$  and  $b_j = 1$ , we get an exponential ladder

$$p_0^{p_1^{p_2^{\dots}}}$$

What other things can we generalize ?

- Identities. Example (constant term expansion):

$$L = \sqrt[n]{\alpha L^n + \beta L^{n-1} \sqrt[n]{\alpha L^n + \beta L^{n-1} \sqrt[n]{\alpha L^n + \dots}}}$$

becomes

$$L = (\alpha L^{1/p} + \beta L^{1/p-1} (\alpha L^{1/p} + \beta L^{1/p-1} (\alpha L^{1/p} + \dots)^p)^p)^p$$

where  $\beta = 1 - \alpha$ .

- Recurrences. Example:

$$\left[ 2^{1-2^k} \right] = \sqrt{2^{1-2^{k+1}} + \left[ 2^{1-2^{k+1}} \right]}$$

becomes

$$\left[ \frac{p^k - 1}{2^{p^k - 1 - p^k}} \right] = \left( \frac{p^{k+1} - 1}{2^{p^k - p^{k+1}}} + \left[ \frac{p^{k+1} - 1}{2^{p^k - p^{k+1}}} \right] \right)^p$$

- Transformations. Example:

$$\sqrt[n]{a_0 + b_0 \sqrt[n]{a_1 + b_1 \sqrt[n]{a_2 + b_2 \sqrt[n]{a_3 + \dots}}}}$$

$$= \sqrt[n]{a_0 + \sqrt[n]{a_1 b_0^n + \sqrt[n]{a_2 b_1^n b_0^{n^2} + \sqrt[n]{a_3 b_2^n b_1^{n^2} b_0^{n^3} + \dots}}}}$$

becomes

$$\begin{aligned} & (a_0 + b_0(a_1 + b_1(a_2 + b_2(a_3 + \dots)^p)^p)^p)^p \\ &= (a_0 + (a_1 b_0^{p-1} + (a_2 b_1^{p-1} b_0^{p-2} + (a_3 b_2^{p-1} b_1^{p-2} b_0^{p-3} + \dots)^p)^p)^p)^p \end{aligned}$$

- Convergence Tests. Example: Is there a generalized ratio test like the one used with series ?

## 4. Selected Results from Literature

### Infinite Products

A) If  $-1 < x < 1$ , then

$$\prod_{j=0}^{\infty} (1 + x^{2^j}) = \frac{1}{1 - x}$$

Incidentally, this identity can be generated with the recurrence

$$\left[ \frac{1}{1 - x} \right] = (1 + x) \left[ \frac{1}{1 - x^2} \right]$$

B) If  $F_n = 2^{2^n} + 1 =$  the  $n$ th Fermat number, then

$$\prod_{n=0}^{\infty} \left( 1 - \frac{1}{F_n} \right) = \frac{1}{2}$$

C) If the factors of an infinite product all exceed unity by small amounts that form a convergent series, then the infinite product also converges.

## Exponential Ladders

If  $0.06599 \approx e^{-e} \leq x \leq e^{1/e} \approx 1.44467$ , then

$$x^{x^{x^{\dots}}}$$

converges to a limit  $L$  such that  $L^{1/L} = x$ .

Herschfeld's Convergence Theorem (restricted), published 1935. When  $x_n > 0$  and  $0 < p < 1$ , the expression

$$\lim_{k \rightarrow \infty} x_0 + (x_1 + (\dots + (x_k)^p \dots)^p)^p$$

converges if *and only if*  $\{x_n^{p^n}\}$  is bounded.

Special case:  $p = 1/2$ . Then

$$\lim_{k \rightarrow \infty} x_0 + \sqrt{x_1 + \sqrt{\dots + \sqrt{x_k}}}$$

converges if and only if  $\{x_n^{2^{-n}}\}$  is bounded.

"Souped-up" ratio test (due to Dixon Jones, 1988). When  $x_n > 0$  and  $p > 1$ , the continued power

$$\lim_{k \rightarrow \infty} x_0 + (x_1 + (\dots + (x_k)^p \dots)^p)^p$$

converges if

$$\frac{x_{n+1}^p}{x_n} \leq \frac{(p-1)^{p-1}}{p^p}$$

for all sufficiently large  $n$ .

Observation: as  $p \rightarrow 1$ , we *almost* get back d'Alembert's ratio test for series.