

# Topic 11: More ray tracing

Some slides and figures courtesy of Wolfgang Hurst, Karan Singh  
Some figures from Peter Shirley, "Fundamentals of Computer Graphics", 3rd Ed.

# Ideal specular or mirror reflection

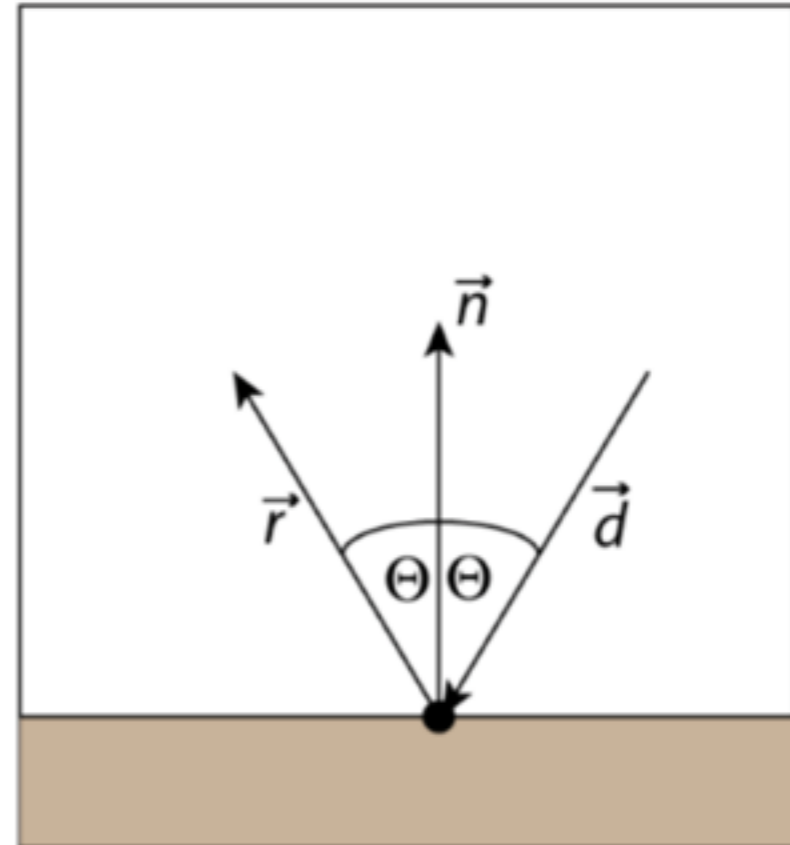
Key characteristic of a mirror:

If looking from direction  $\vec{d}$  to a spot on the reflecting surface, the viewer sees the same image as if looking from the surface point in direction  $\vec{r}$ .

Hence, we need to calculate the reflection vector:

$$\vec{r} = \vec{d} - 2(\vec{d} \cdot \vec{n})\vec{n}$$

Then we shoot a ray in that direction.



# Ideal specular or mirror reflection

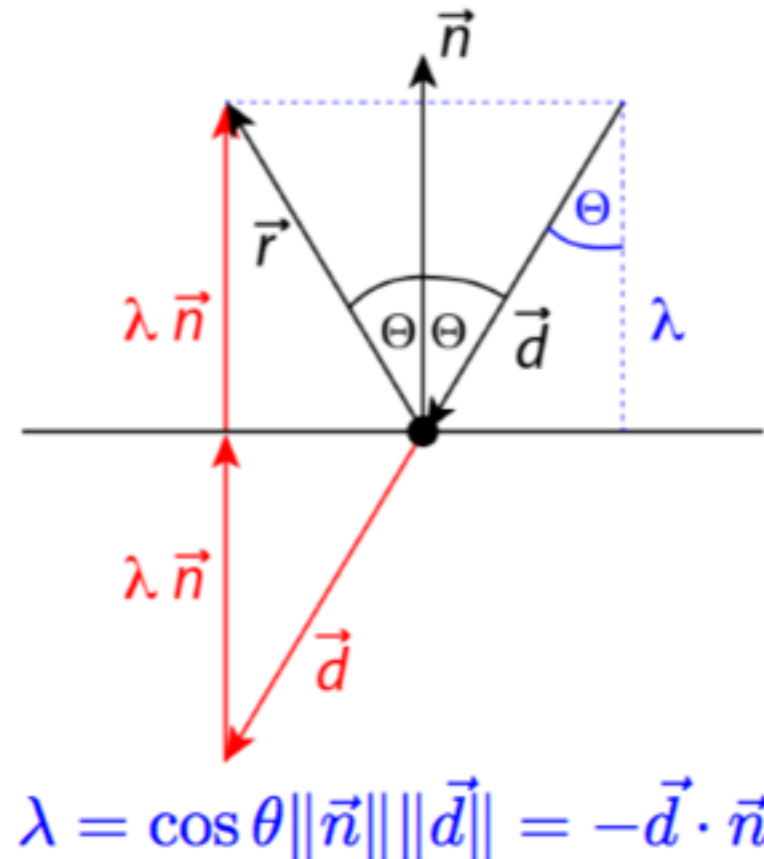
We know this from Phong reflection with light vector  $l$ :

$$\vec{r} = -\vec{l} + 2(\vec{l} \cdot \vec{n})\vec{n}$$

But be careful with the **direction of  $\vec{d}$**  when calculating the reflection vector for mirroring:

$$\vec{r} = \vec{d} - 2(\vec{d} \cdot \vec{n})\vec{n}$$

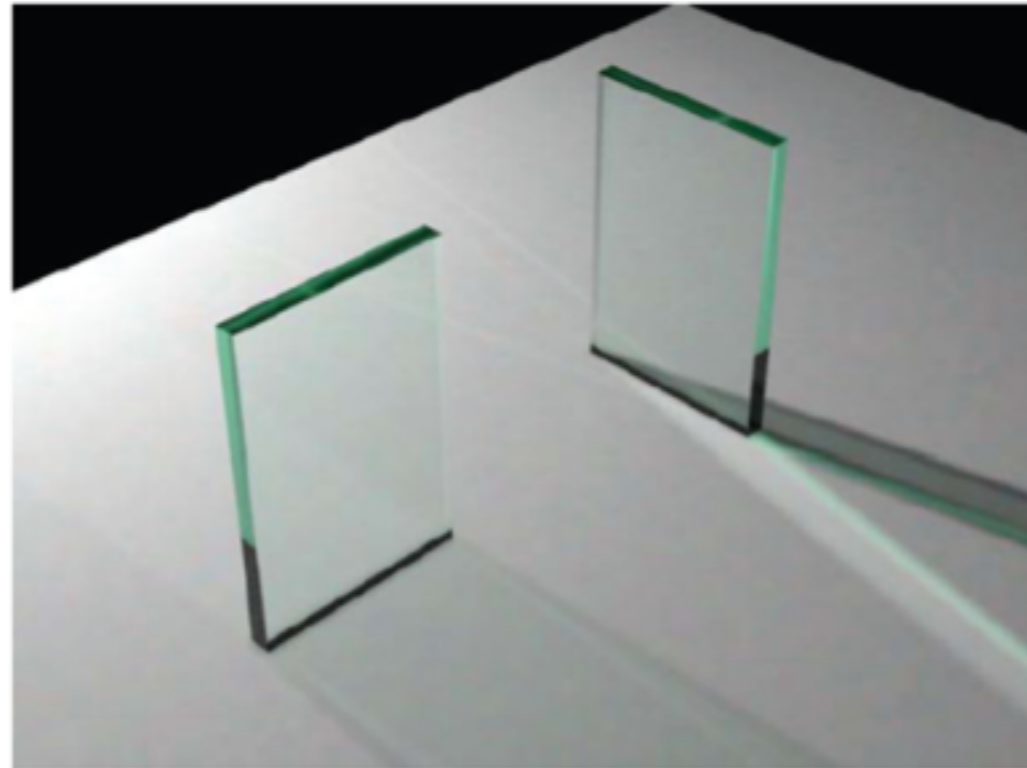
Also: we need to include a max. recursion depth to avoid **"infinite bouncing"** of rays.



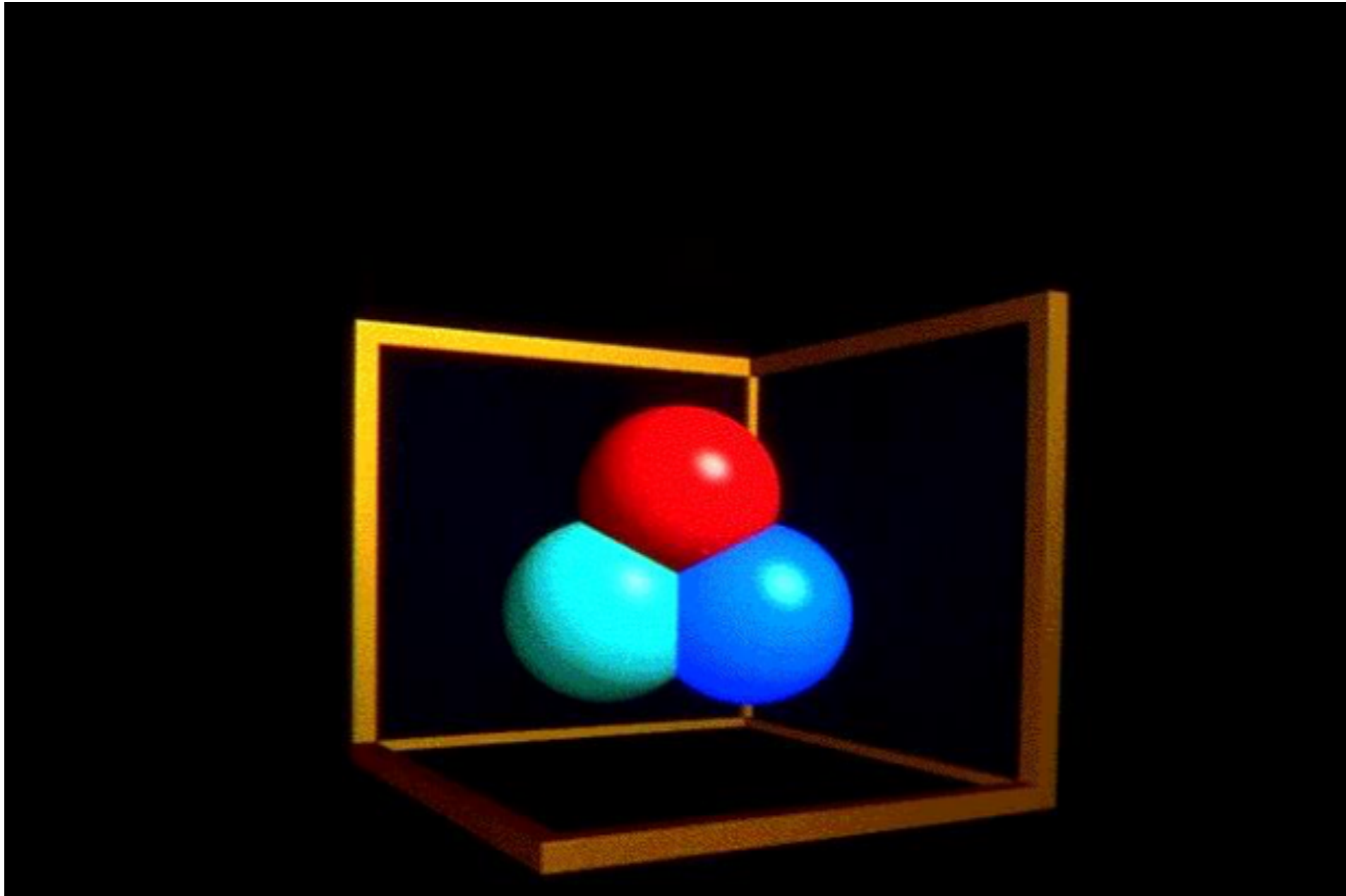
# Refraction

Light traveling from one **transparent medium** into another one is **refracted**.

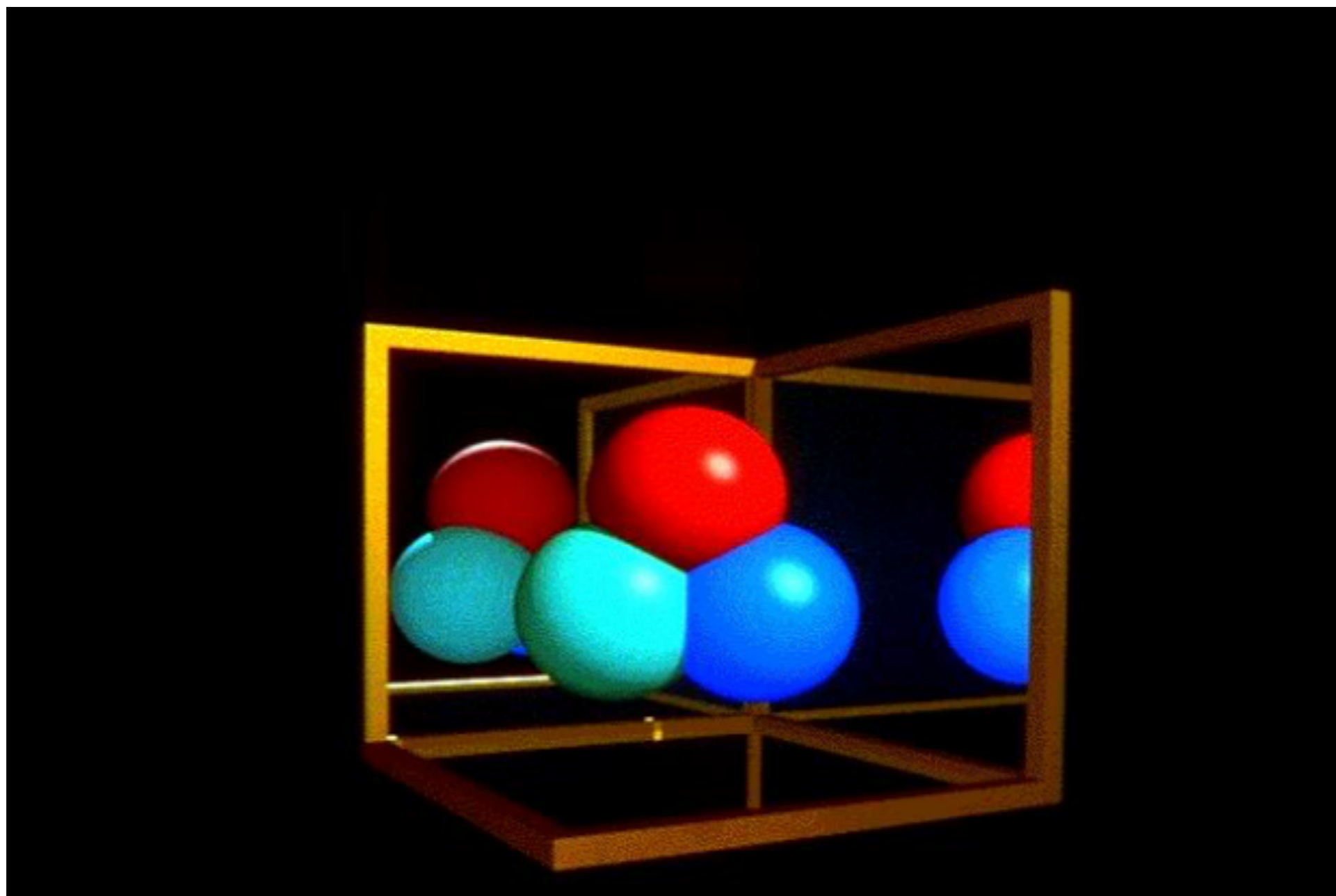
To consider this in ray tracing, we need to know the refraction angles.



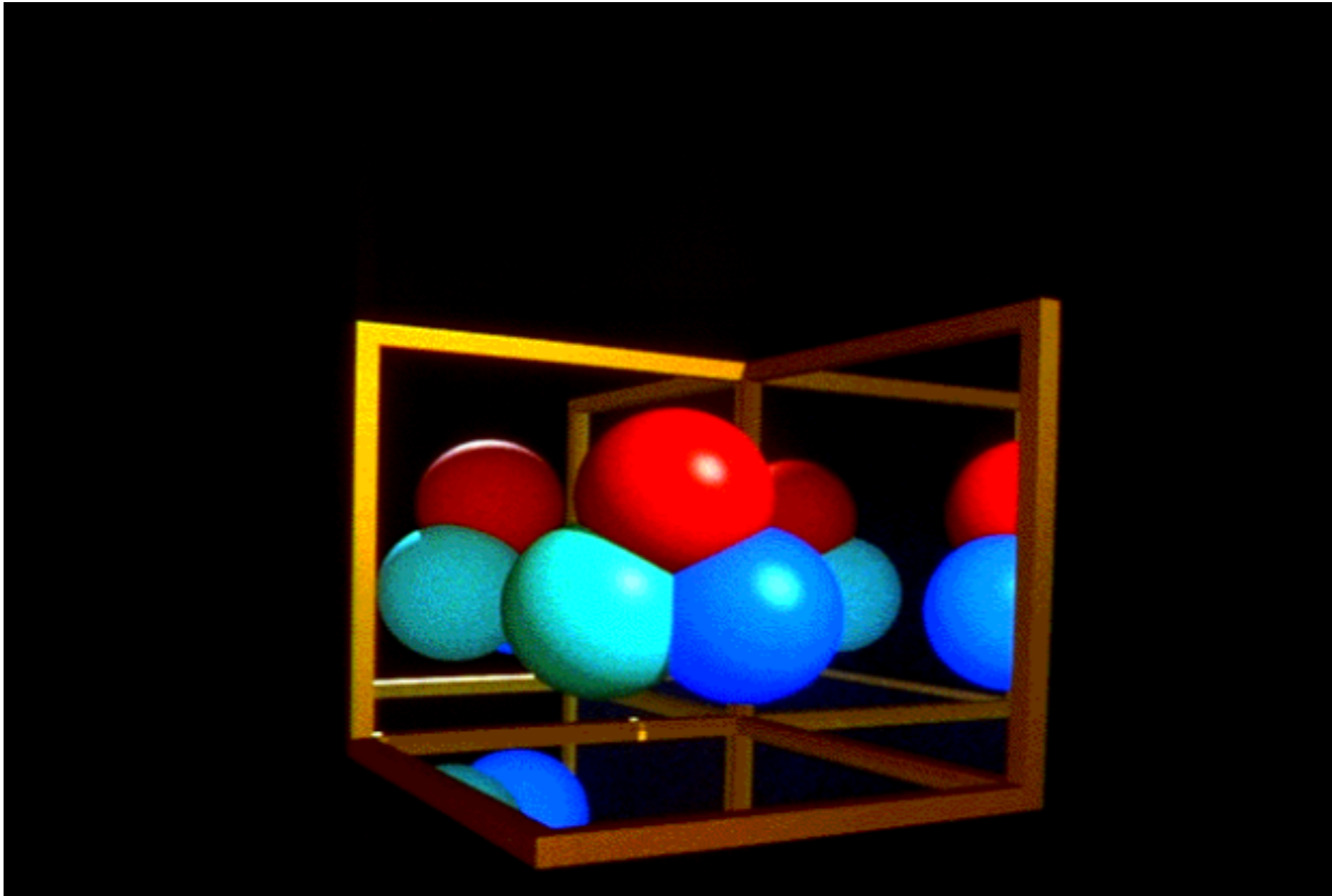
No reflection



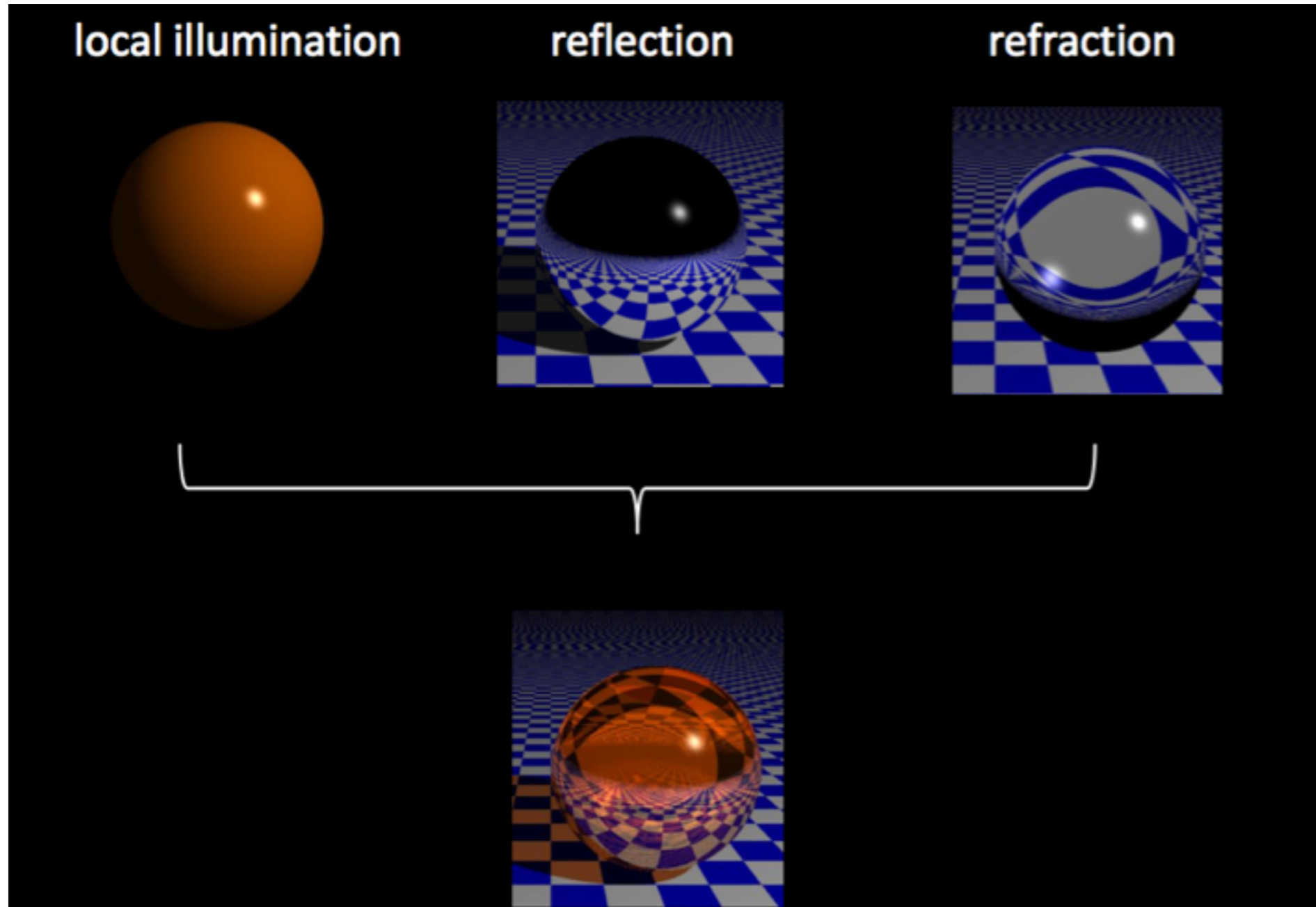
# Single reflection



# Double reflection



# Components



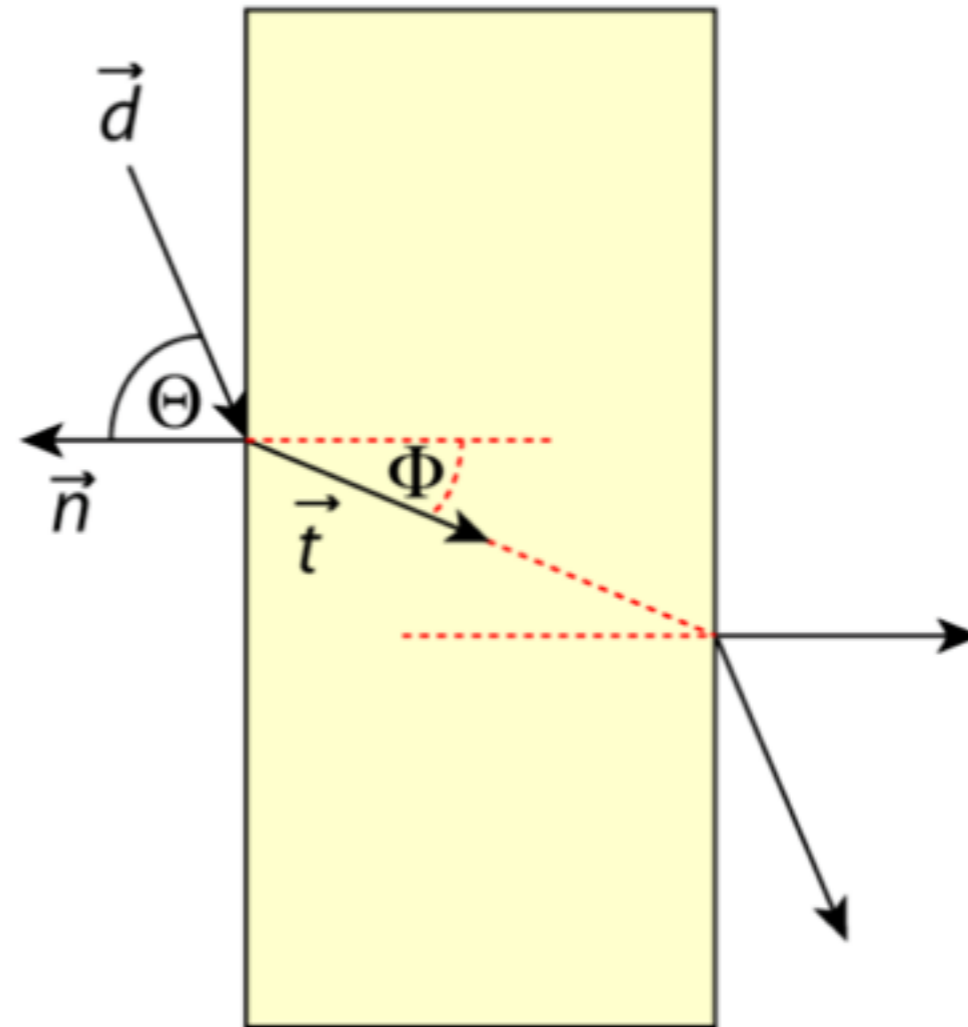


# Snell's law

According to **Snell's law**, the angles before and after refraction are related as follows:

$$n \sin \theta = n_t \sin \phi$$

where  $n$  and  $n_t$  are the **refractive indices** of the source and target media, respectively, and  $\theta$  and  $\phi$  the angles indicated in the image.

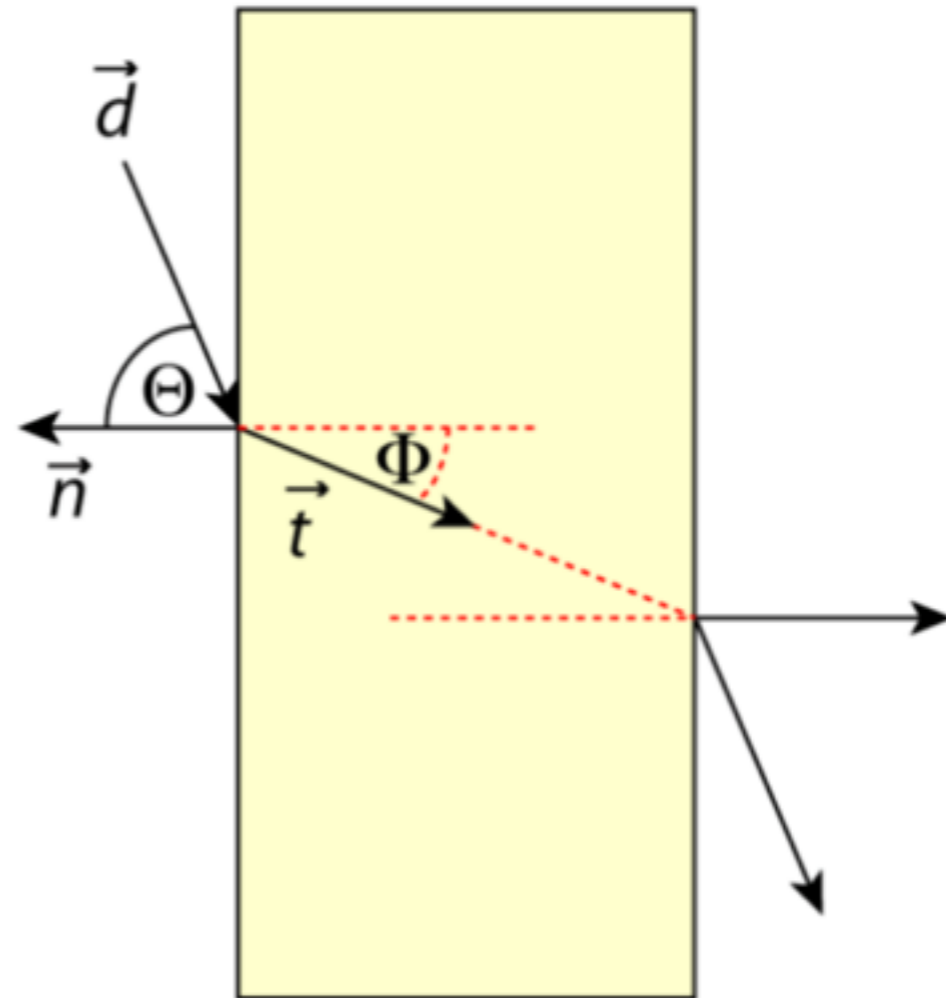


# Getting rid of sines

An equation that relates **sines** of the angles  $\theta$  and  $\phi$  is not as convenient as an equation that relates the **cosines** of the angles.

Fortunately, we can eliminate the sines using the **trigonometric identity**:

$$\sin^2 \alpha + \cos^2 \alpha = 1$$



# Getting rid of sines

Trigonometric identity:  $\sin^2 \alpha + \cos^2 \alpha = 1$

$$\rightsquigarrow \sin^2 \alpha = 1 - \cos^2 \alpha \quad \text{(i)}$$

Snell's law:

$$n \sin \theta = n_t \sin \phi$$

$$\rightsquigarrow \sin \phi = \frac{n}{n_t} \sin \theta$$

$$\rightsquigarrow \sin^2 \phi = \frac{n^2}{n_t^2} \sin^2 \theta \quad \text{(ii)}$$

---

**(i)** with  $\alpha = \phi$  in **(ii)** gives us:

$$\cos^2 \phi = 1 - \frac{n^2}{n_t^2} \sin^2 \theta \quad \text{(iii)}$$

**(i)** with  $\alpha = \theta$  in **(iii)** gives us:

$$\cos^2 \phi = 1 - \frac{n^2}{n_t^2} (1 - \cos^2 \theta)$$

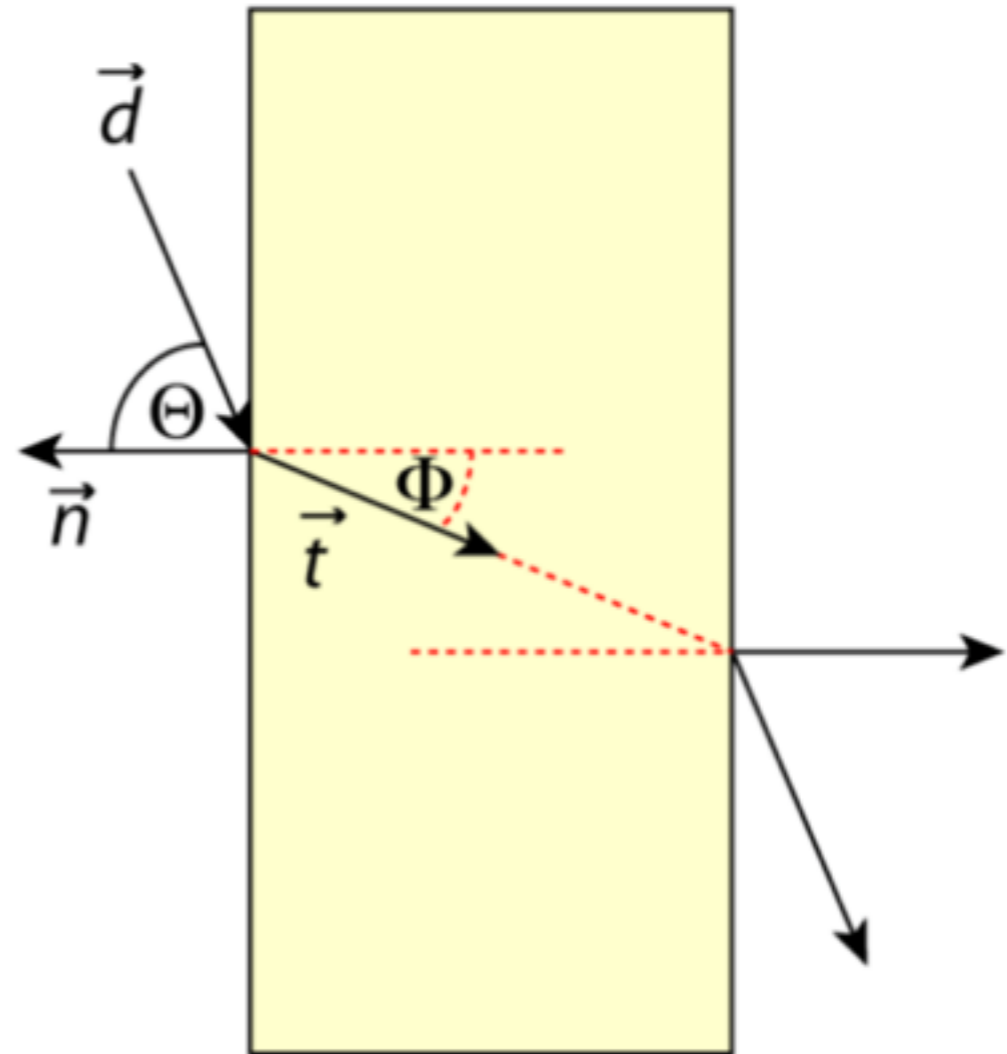
# Getting rid of sines

Hence, we can rewrite Snell's law

$$n \sin \theta = n_t \sin \phi$$

with cosines instead of sines

$$\cos^2 \phi = 1 - \frac{n^2(1 - \cos^2 \theta)}{n_t^2}$$

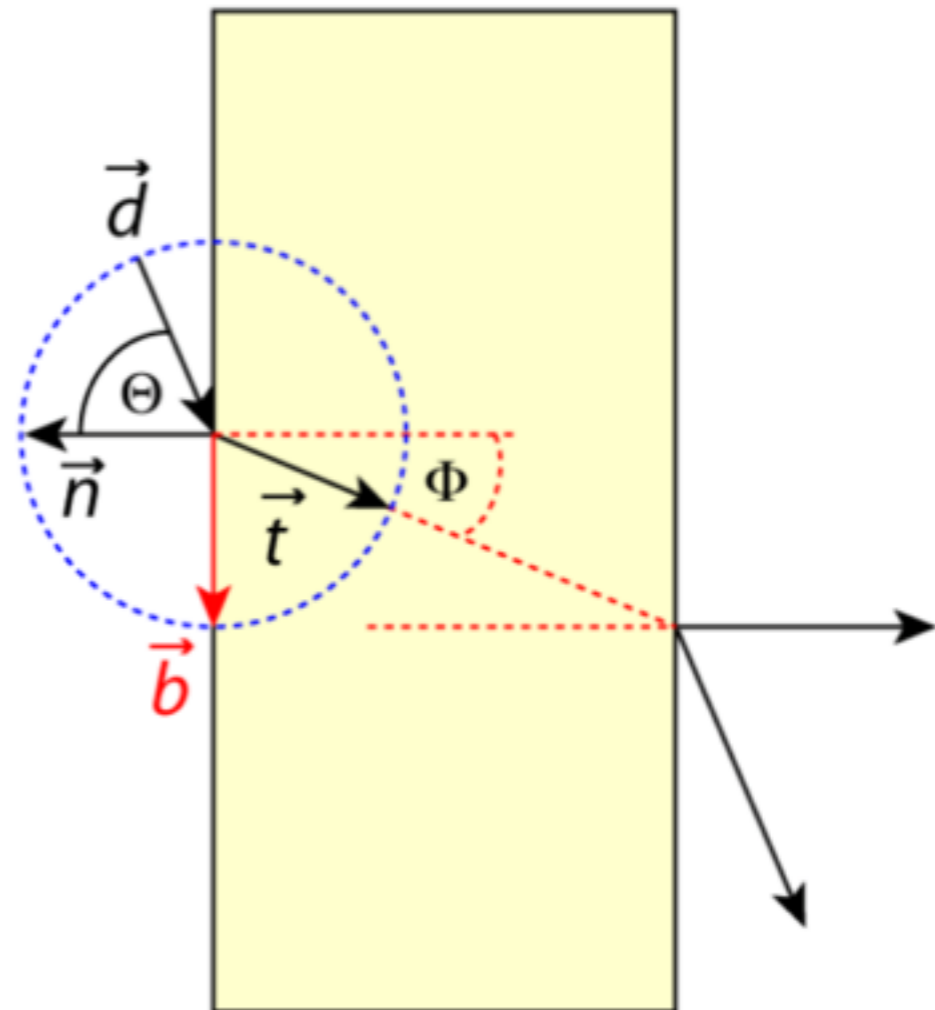


# Constructing an orthonormal basis

How do we find the **refracted vector**  $\vec{t}$ ?

First, notice that  $\vec{t}$  lies in the plane spanned by  $\vec{d}$  and  $\vec{n}$ .

If the **incoming vector**  $\vec{d}$  and the **normal**  $\vec{n}$  are normalized, we can express  $\vec{t}$  in an **orthonormal basis** in this plane using an appropriate vector  $\vec{b}$ .



# Finding the refraction vector

The refraction vector  $\vec{t}$  is a linear combination of  $\vec{b}$  and  $-\vec{n}$ :

$$\vec{t} = x_t \vec{b} + y_t (-\vec{n})$$

From the image we see that

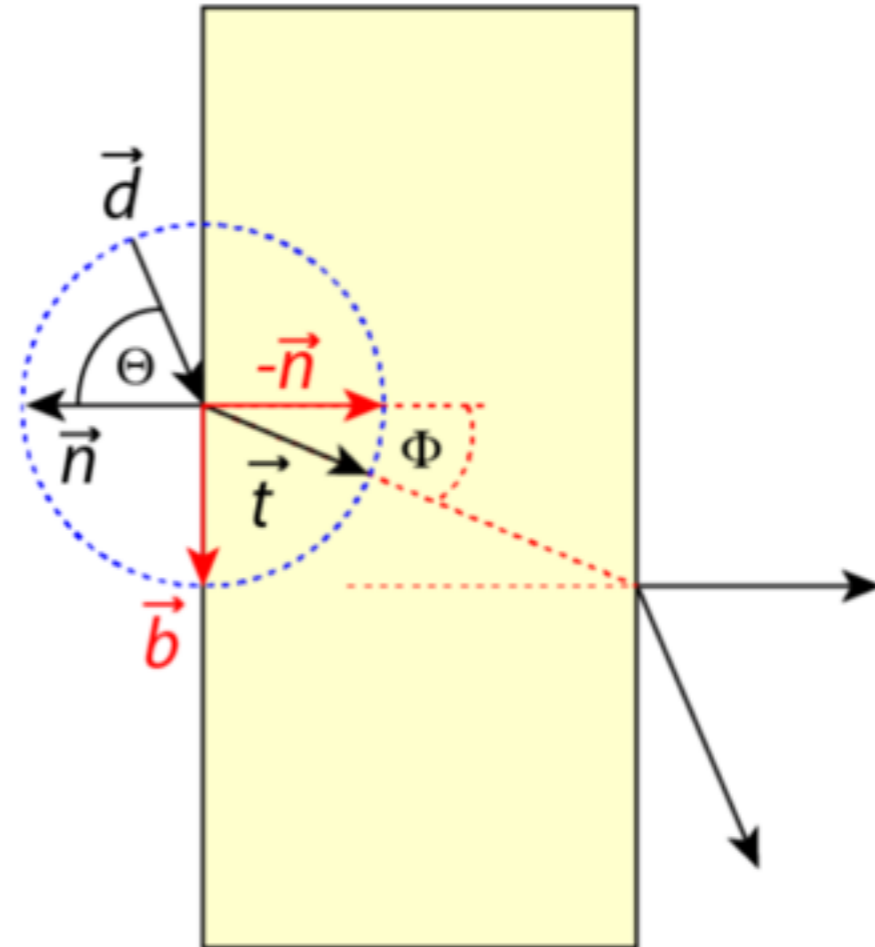
$$x_t = \sin \phi \text{ and } y_t = \cos \phi$$

Hence, we have

$$\vec{t} = \vec{b} \sin \phi - \vec{n} \cos \phi$$

Likewise, we get

$$\vec{d} = \vec{b} \sin \theta - \vec{n} \cos \theta$$



# Finding the refraction vector

The refraction vector  $\vec{t}$  is a linear combination of  $\vec{b}$  and  $-\vec{n}$ :

$$\vec{t} = x_t \vec{b} + y_t (-\vec{n})$$

From the image we see that

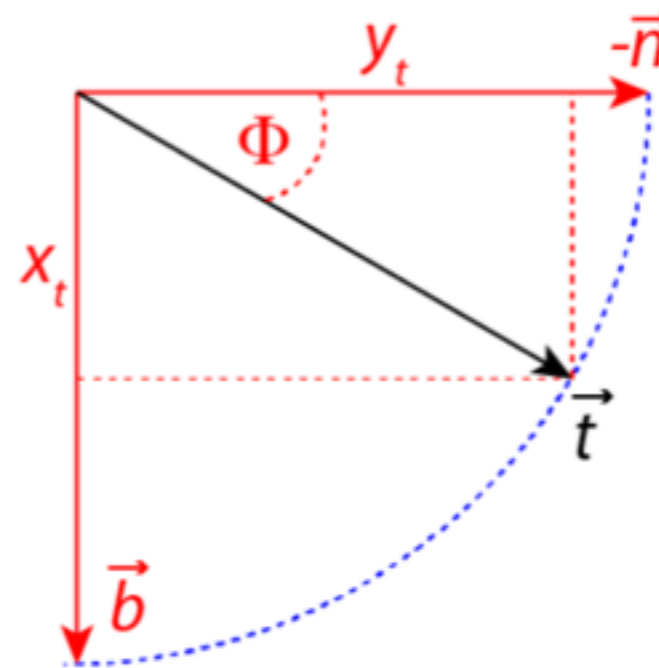
$$x_t = \sin \phi \text{ and } y_t = \cos \phi$$

Hence, we have

$$\vec{t} = \vec{b} \sin \phi - \vec{n} \cos \phi$$

Likewise, we get

$$\vec{d} = \vec{b} \sin \theta - \vec{n} \cos \theta$$



# Finding the refraction vector

We have

$$\vec{t} = \vec{b} \sin \phi - \vec{n} \cos \phi$$

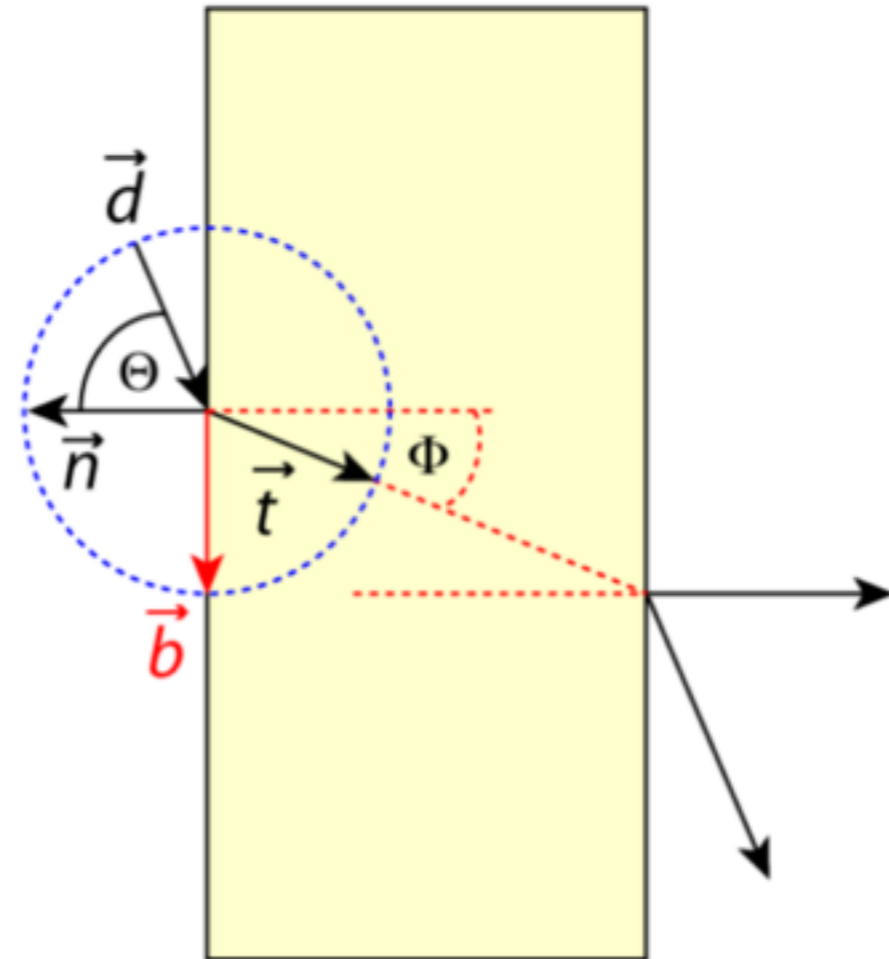
$$\vec{d} = \vec{b} \sin \theta - \vec{n} \cos \theta$$

So we can solve for  $\vec{b}$ :

$$\vec{b} = \frac{\vec{d} + \vec{n} \cos \theta}{\sin \theta}$$

and for  $\vec{t}$ :

$$\begin{aligned} \vec{t} &= \frac{\sin \phi (\vec{d} + \vec{n} \cos \theta)}{\sin \theta} - \vec{n} \cos \phi \\ &= \frac{n (\vec{d} + \vec{n} \cos \theta)}{n_t} - \vec{n} \cos \phi \\ &= \frac{n (\vec{d} - \vec{n} (\vec{d} \cdot \vec{n}))}{n_t} - \vec{n} \sqrt{1 - \frac{n^2 (1 - (\vec{d} \cdot \vec{n})^2)}{n_t^2}} \end{aligned}$$

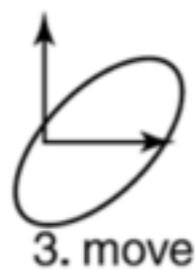
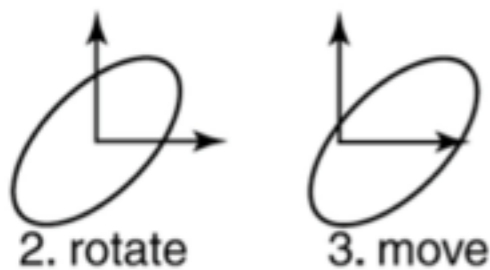
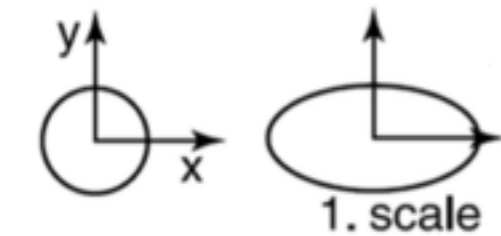




# Copying and transforming objects

**Instancing** is an elegant technique to place various **transformed copies** of an object in a scene.

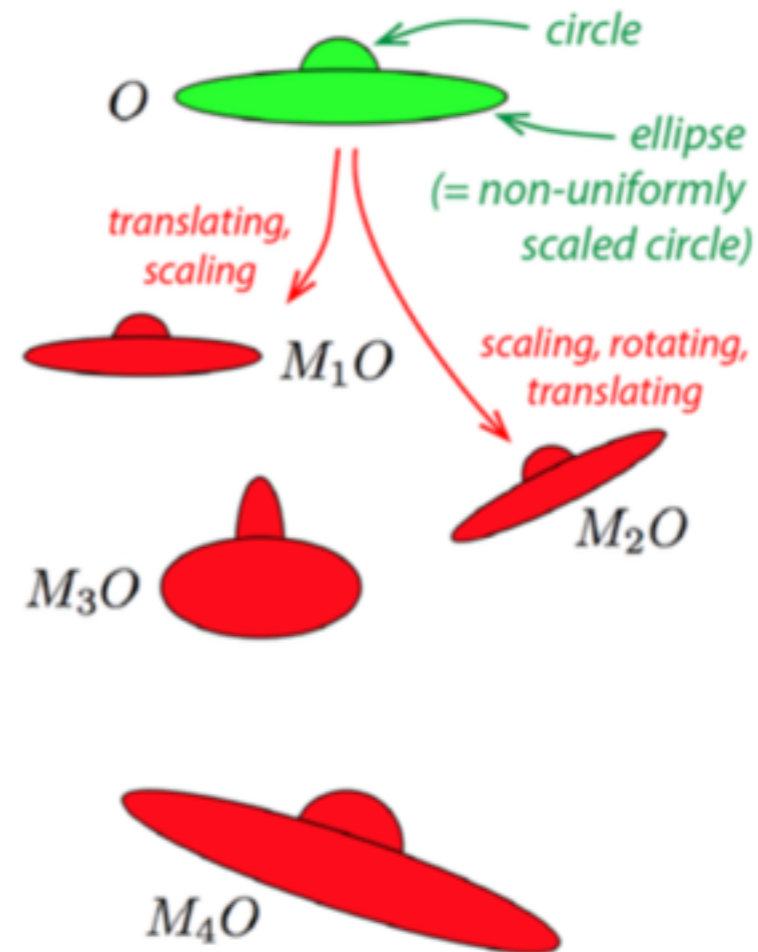
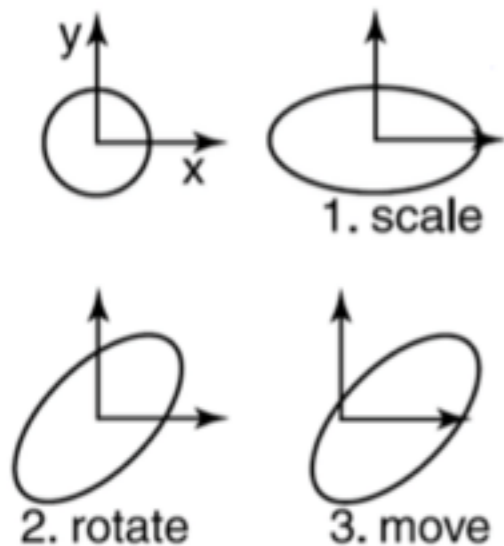
Expl.: circle  $\rightarrow$  ellipse



# Copying and transforming objects

**Instancing** is an elegant technique to place various **transformed copies** of an object in a scene.

Expl.: circle  $\rightarrow$  ellipse

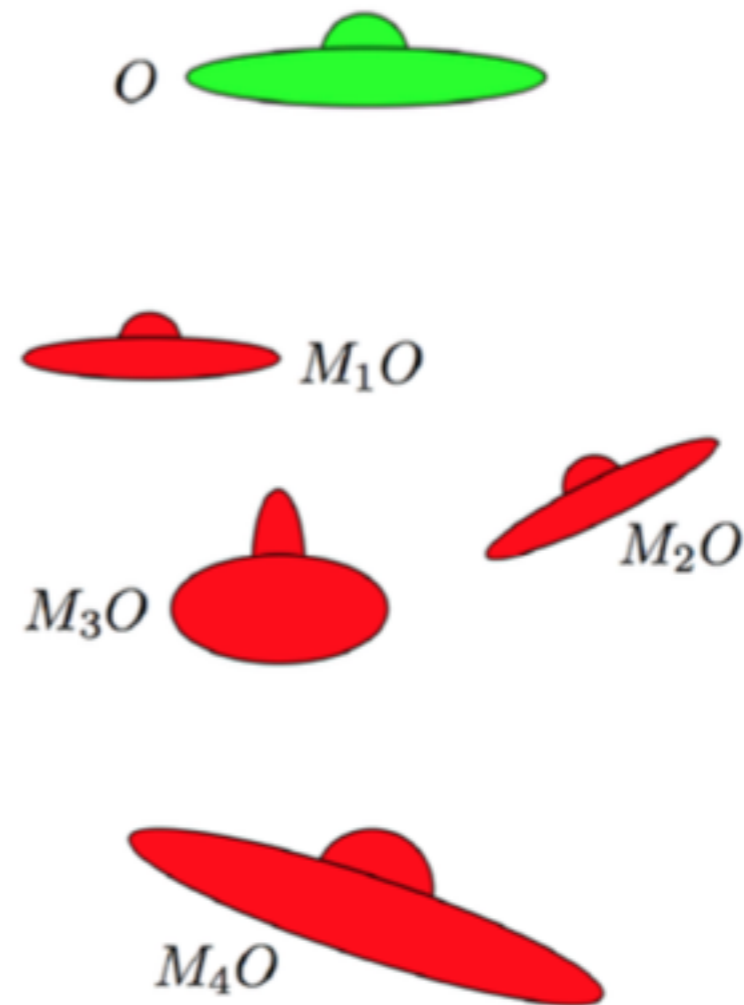


# Copying and transforming objects

Instead of making actual copies, we simply store a **reference** to a base object, together with a **transformation matrix**.

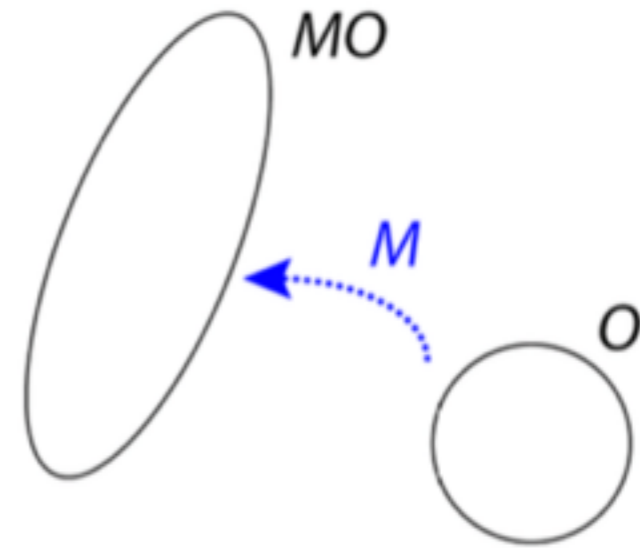
That can save us lots of storage.

Hmm, but how do we compute the **intersection** of a ray with a randomly rotated ellipse?



# Ray-instance intersection

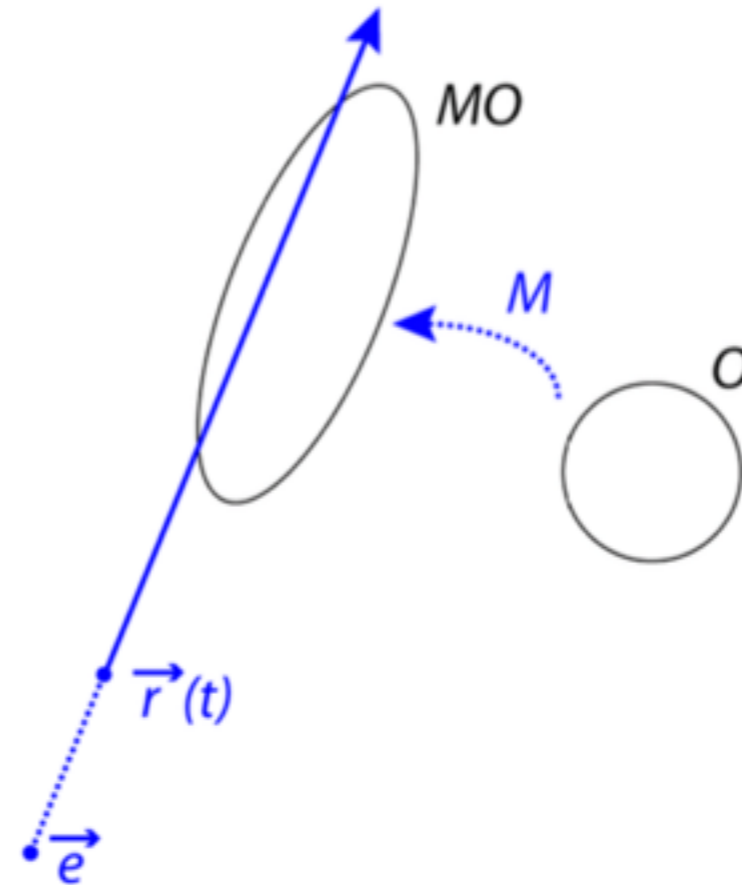
Assume an object  $O$  that is used to create an object  $MO$  via instancing.



# Ray-instance intersection

Now, we want to create the intersection of  $MO$  with the ray  $\vec{r}(t)$ , which in turn is defined by the line

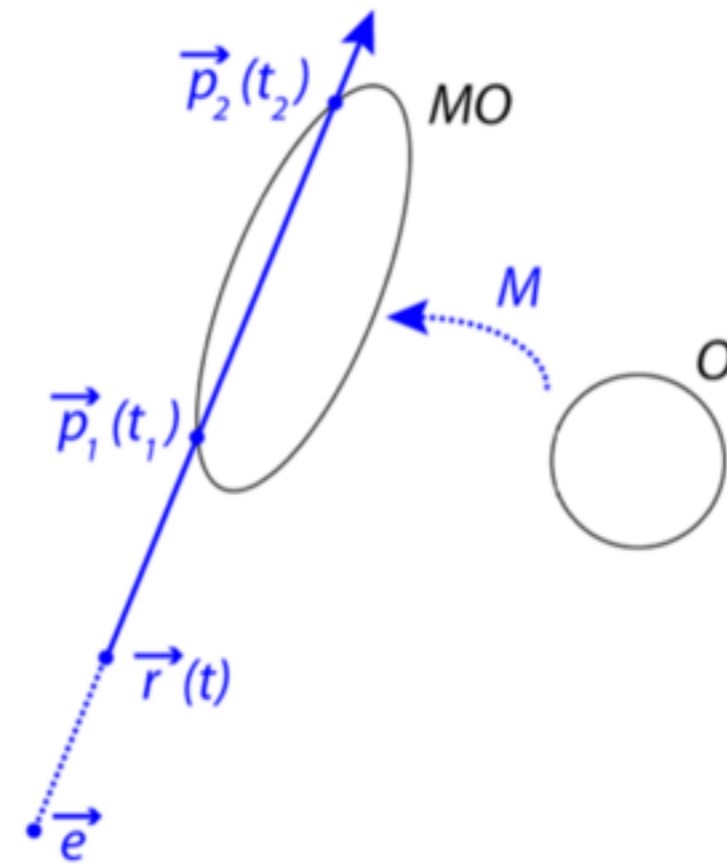
$$\vec{l}(t) = \vec{e} + t\vec{d}.$$



$$\vec{l}(t) = \vec{e} + t \vec{d}$$

# Ray-instance intersection

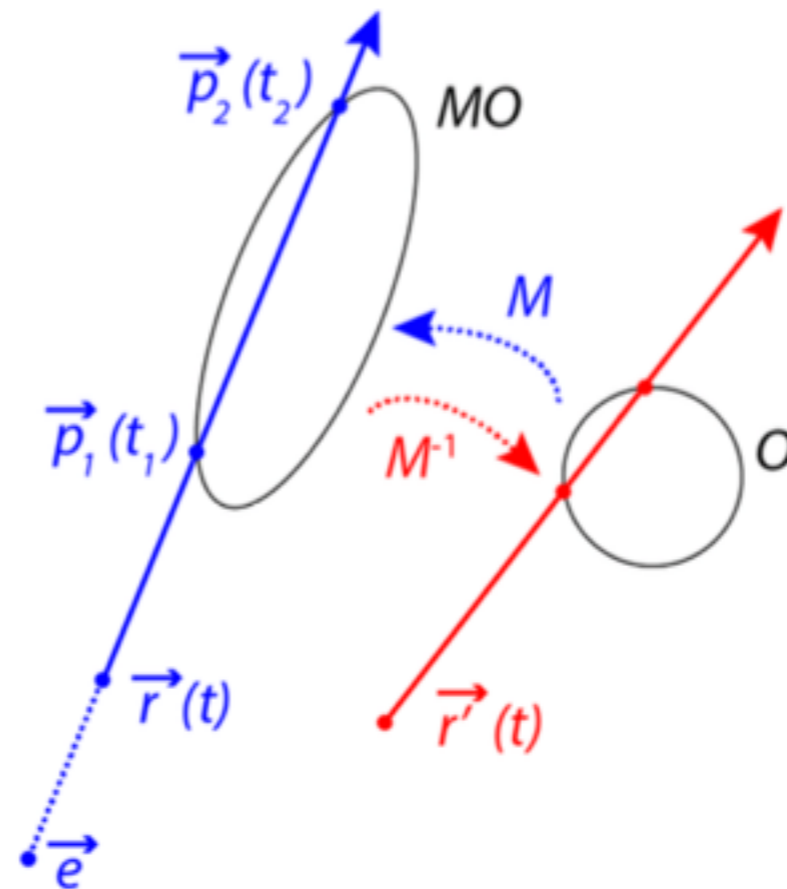
Fortunately, such **complicated intersection tests** (e.g. ray/ellipsoid) can often be **replaced by much simpler tests** (e.g. ray/sphere).



$$\vec{l}(t) = \vec{e} + t \vec{d}$$

# Ray-instance intersection

To determine the intersections  $\vec{p}_i$  of a ray  $\vec{r}$  with the instance  $MO$ , we first compute the intersections  $\vec{p}'_i$  of the **inverse transformed ray**  $M^{-1}\vec{r}$  and the **original object**  $O$ .



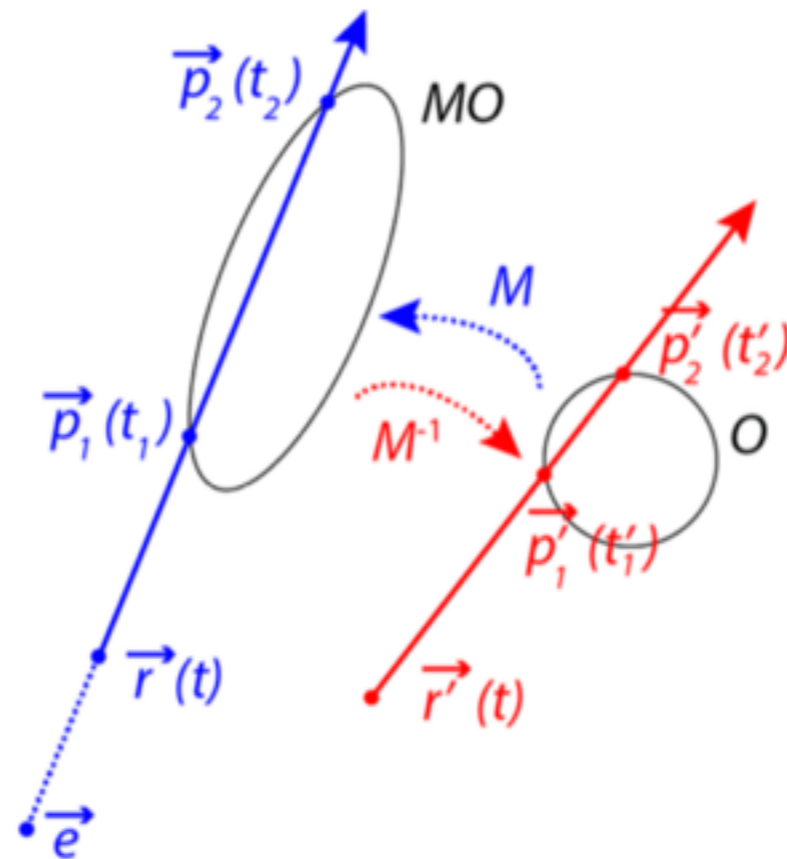
$$\vec{l}(t) = \vec{e} + t \vec{d}$$

# Ray-instance intersection

The points  $\vec{p}_i$  are then simply

$$M\vec{p}'_i \text{ or } \vec{l}(t'_i)$$

because the linear transformation preserves relative distances along the line.



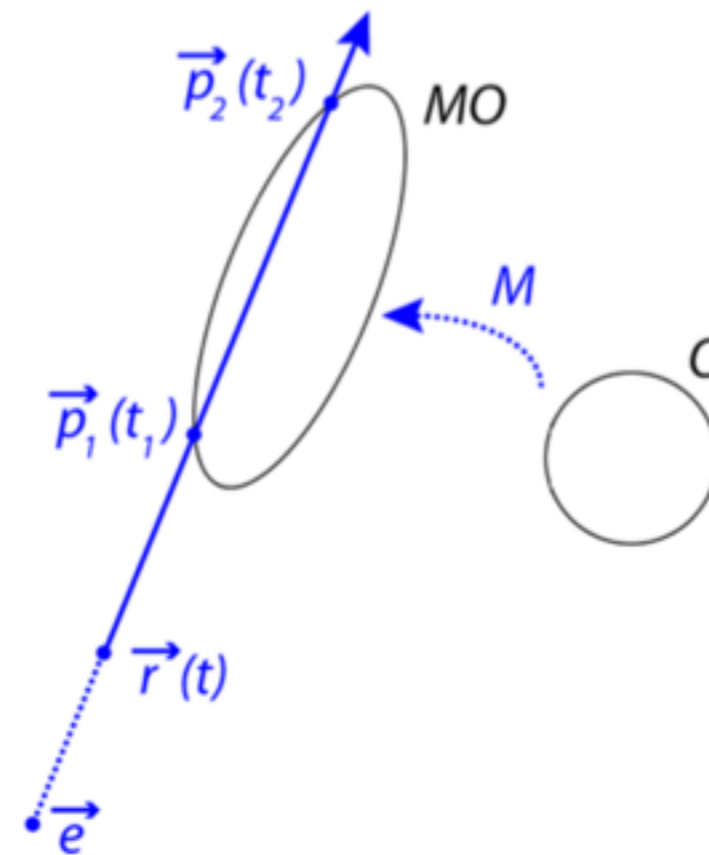
$$\vec{l}(t) = \vec{e} + t\vec{d}$$



# Ray-instance intersection

Two pitfalls:

- The **direction vector** of the ray should *not* be normalized
- **Surface normals** transform differently!  
→ use  $(M^{-1})^T$  instead of  $M$  for normals



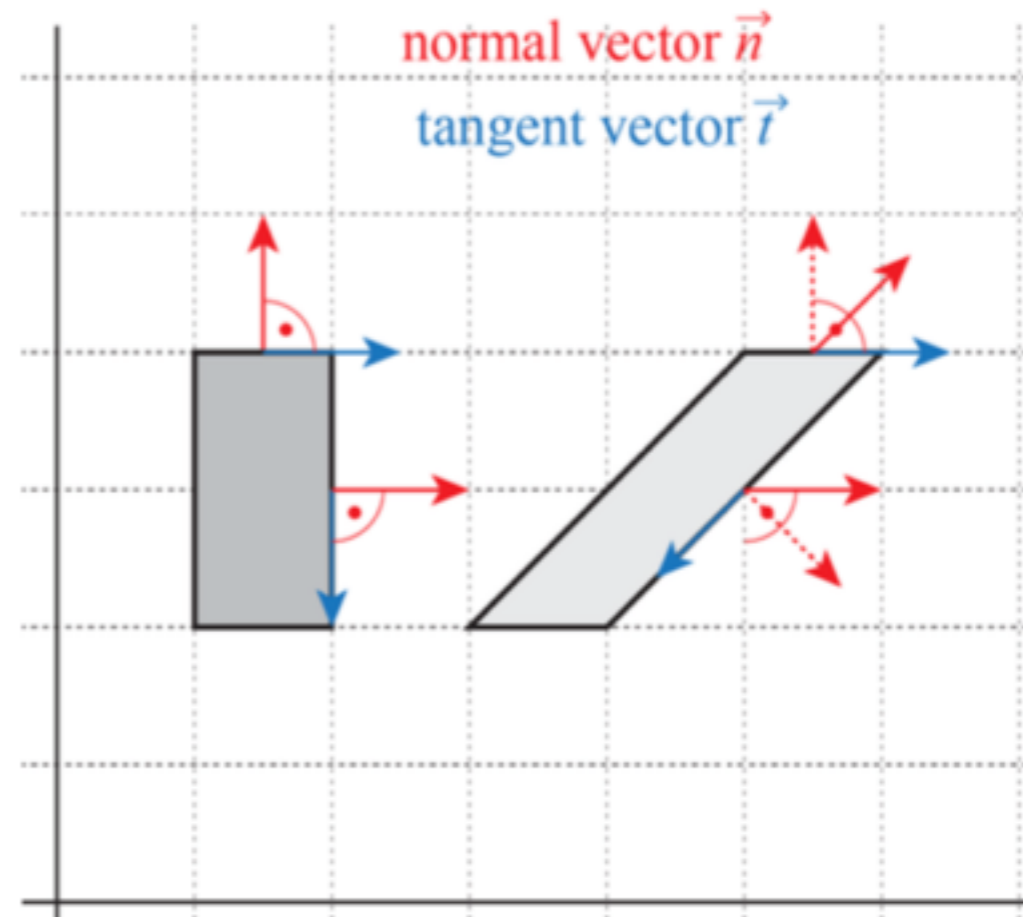
$$\vec{l}(t) = \vec{e} + t \vec{d}$$

# Transforming normal vectors

Unfortunately, normal vectors are not always transformed properly.

E.g. look at shearing, where tangent vectors are correctly transformed but normal vectors not.

To transform a normal vector  $\vec{n}$  correctly under a given linear transformation  $A$ , we have to apply the matrix  $(A^{-1})^T$ . Why?



# Transforming normal vectors

We know that tangent vectors are transformed correctly:  $A\vec{t} = \vec{t}_A$ .

But this is not necessarily true for normal vectors:  $A\vec{n} \neq \vec{n}_A$ .

Goal: find matrix  $N_A$  that transforms  $\vec{n}$  correctly, i.e.  $N_A\vec{n} = \vec{n}_N$  where  $\vec{n}_N$  is the correct normal vector of the transformed surface.

Because our original normal vector  $\vec{n}^T$  is perpendicular to the original tangent vector  $\vec{t}$ , we know that:

$$\vec{n}^T \vec{t} = 0.$$

This is the same as

$$\vec{n}^T I \vec{t} = 0$$

which is the same as

$$\vec{n}^T A^{-1} A \vec{t} = 0$$

# Transforming normal vectors

Because  $A\vec{t} = \vec{t}_A$  is our correctly transformed tangent vector, we have

$$\vec{n}^T A^{-1} \vec{t}_A = 0$$

Because their scalar product is 0,  $\vec{n}^T A^{-1}$  must be orthogonal to it. So, the vector we are looking for must be

$$\vec{n}_N^T = \vec{n}^T A^{-1}.$$

Because of how matrix multiplication is defined, this is a transposed vector. But we can rewrite this to

$$\vec{n}_N = (\vec{n}^T A^{-1})^T.$$

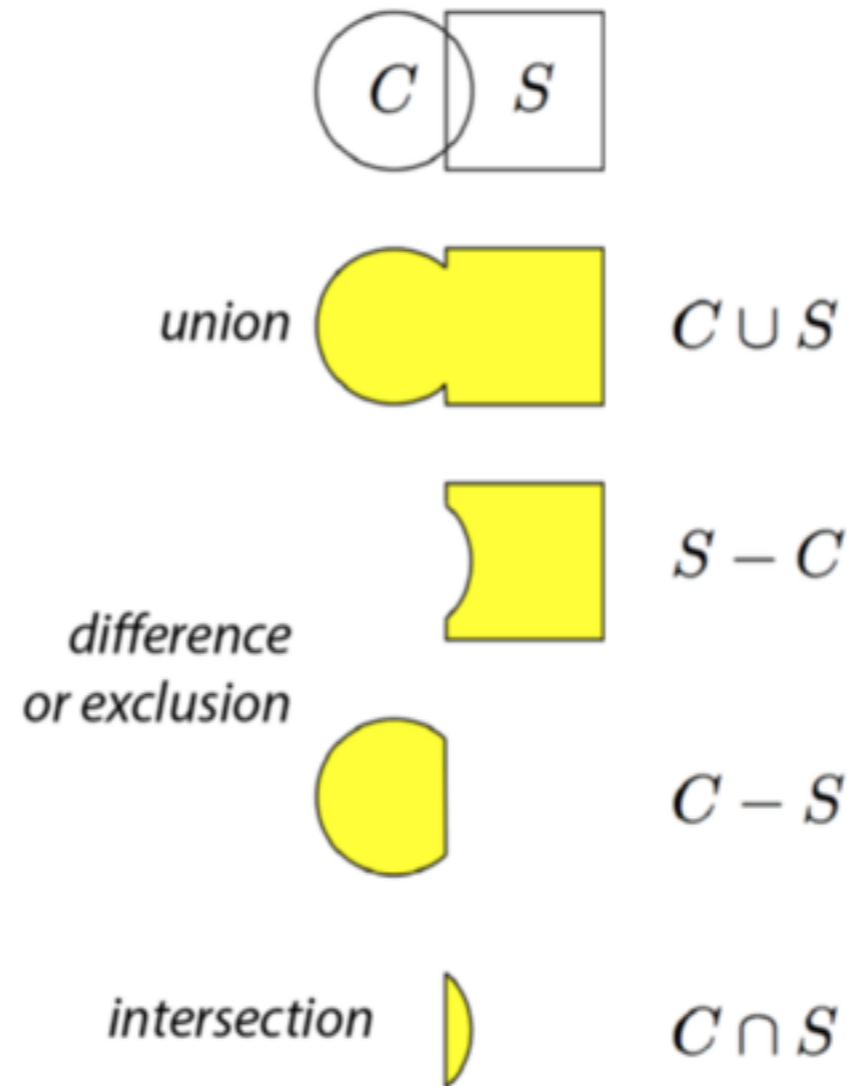
And if you remember that  $(AB)^T = B^T A^T$ , we get

$$\vec{n}_N = (A^{-1})^T \vec{n}$$

# Constructive solid geometry

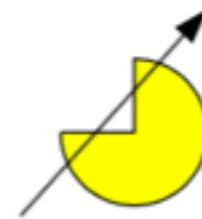
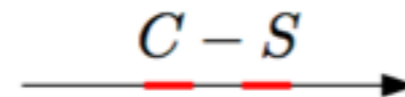
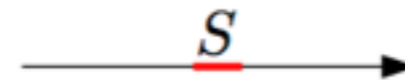
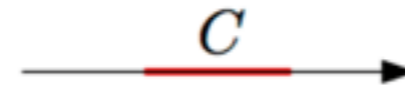
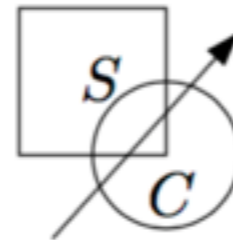
To model our scenes in ray tracing, we can basically use any object that allows us to calculate its intersection with a 3D line.

Using **Constructive Solid Geometry** (CSG), we can build complex objects from simple ones with **set operations**.



# Intersection and CSG

Instead of actually constructing the objects, we can calculate **ray-object intersections** with the original objects and perform set operations on the resulting **intervals**.

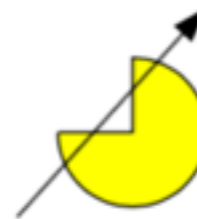
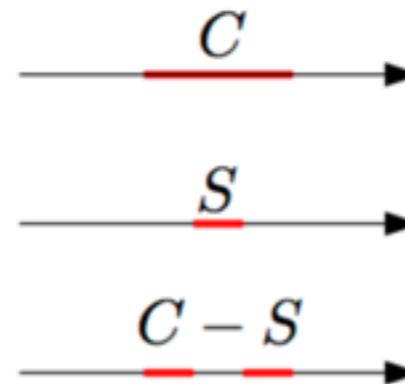
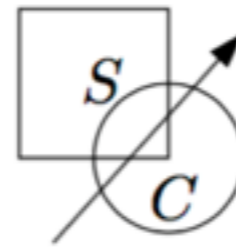


# Intersection and CSG

For every base object, we maintain an **interval** (or set of intervals) representing the part of the ray **inside** the object.

The intervals for combined objects are computed with the **same set operations** that are applied to the base objects.

The borders of the resulting intervals are our intersection points.

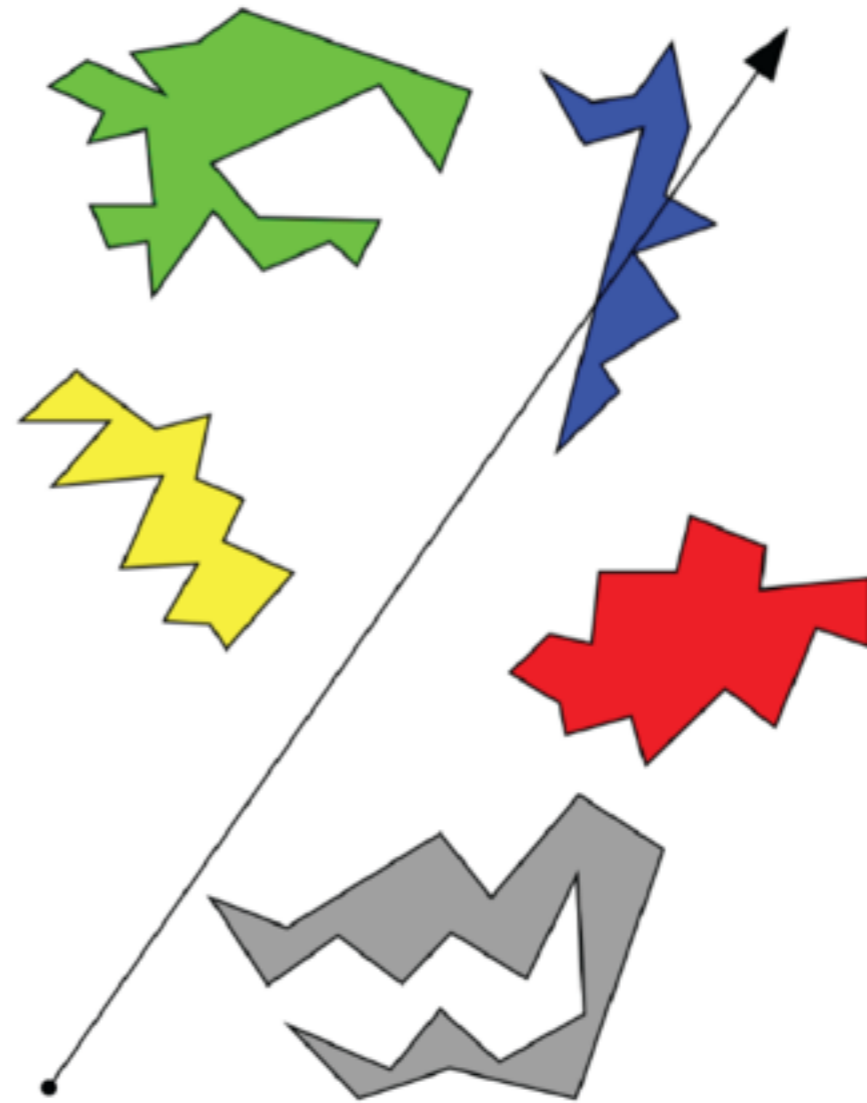


# The bottleneck in ray tracing

As said before: ray tracing is generally SLOW.

It is estimated that 75% to 95% of the time in ray/tracing is spent on ray/object intersections [Chang, 2001].

Hence, there are many approaches to increase calculation speed by reducing the number of necessary intersection tests.

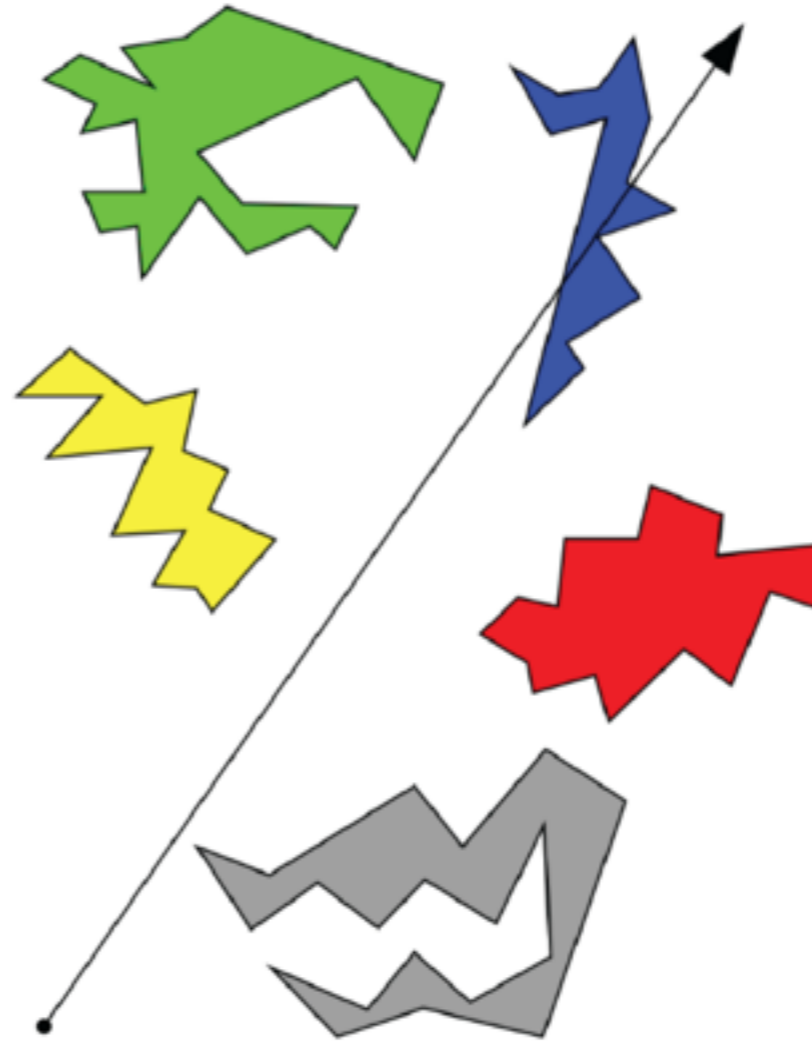




# Spatial data structures

Applied for

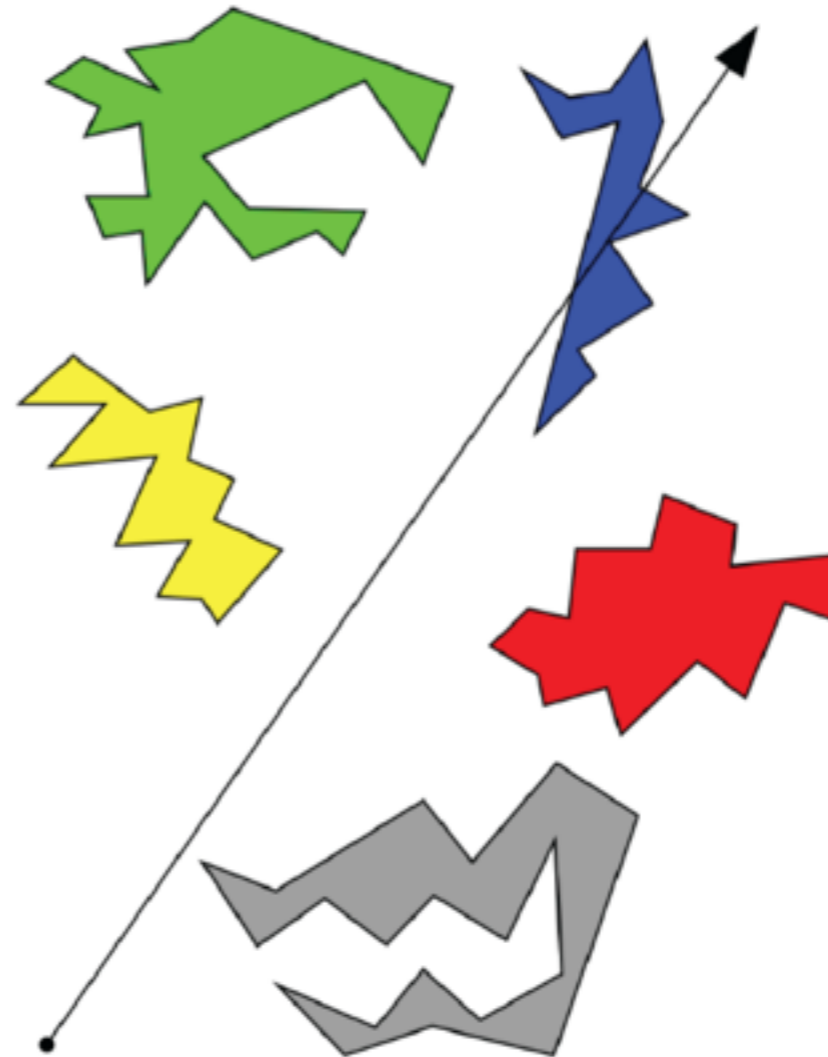
- ray tracing
- culling
- collision detection



# Spatial data structures

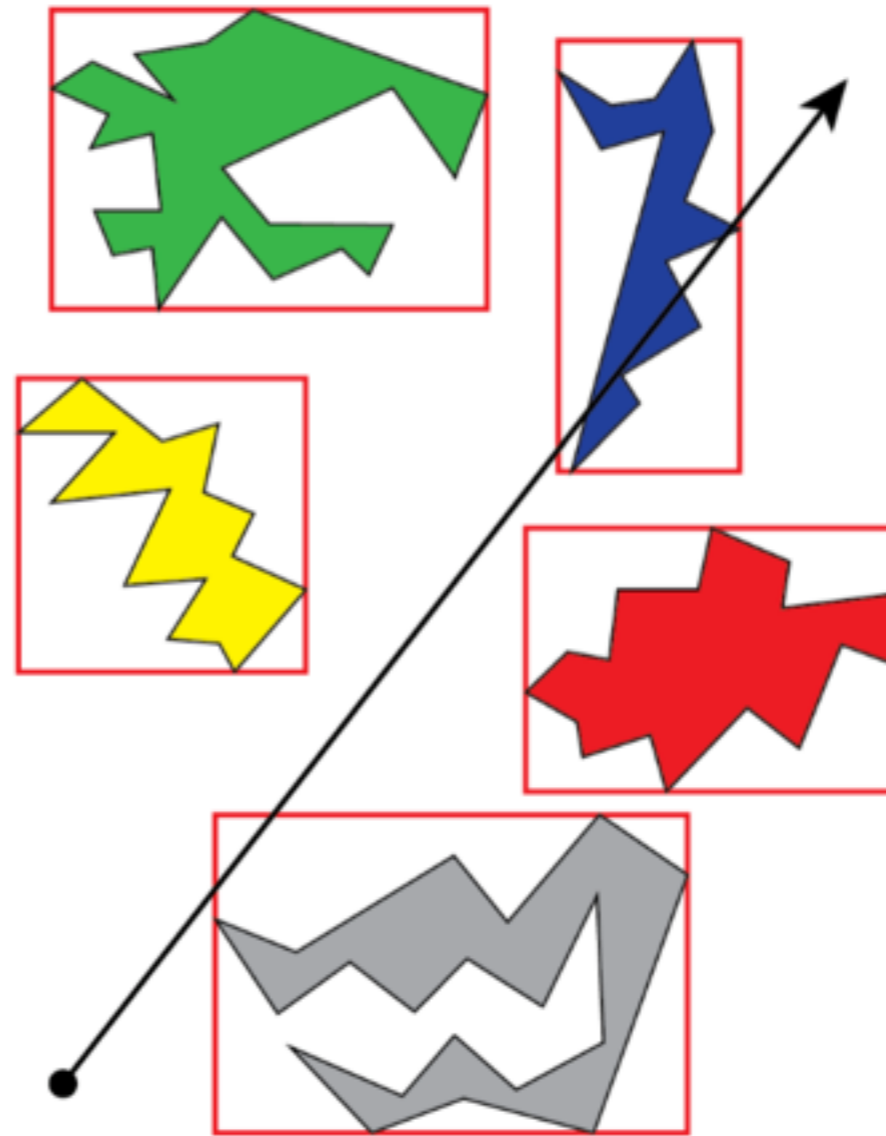
We distinguish between

- **object partitioning** schemes
- **space partitioning** schemes



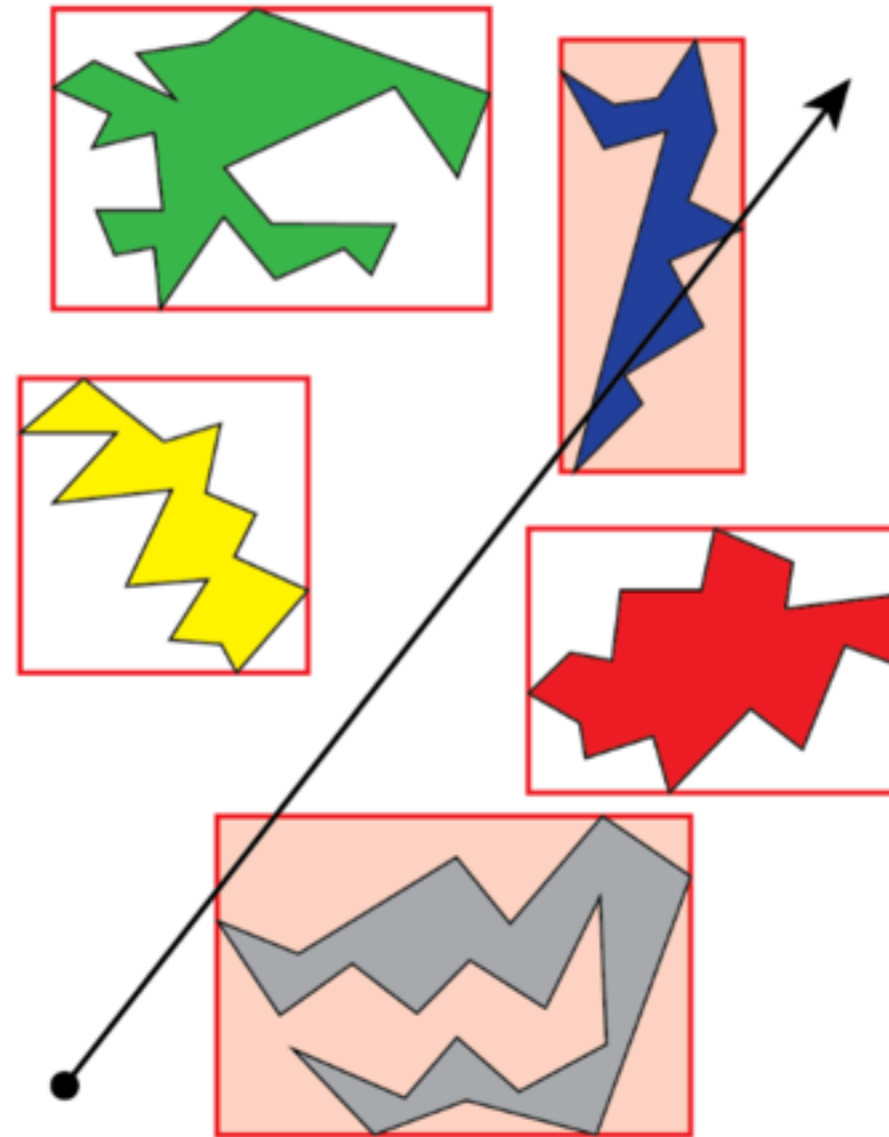
# Ray Tracing Improvements: Bounding boxes

A common technique to improve ray/object intersection query times is the use of **bounding boxes**.



# Bounding boxes

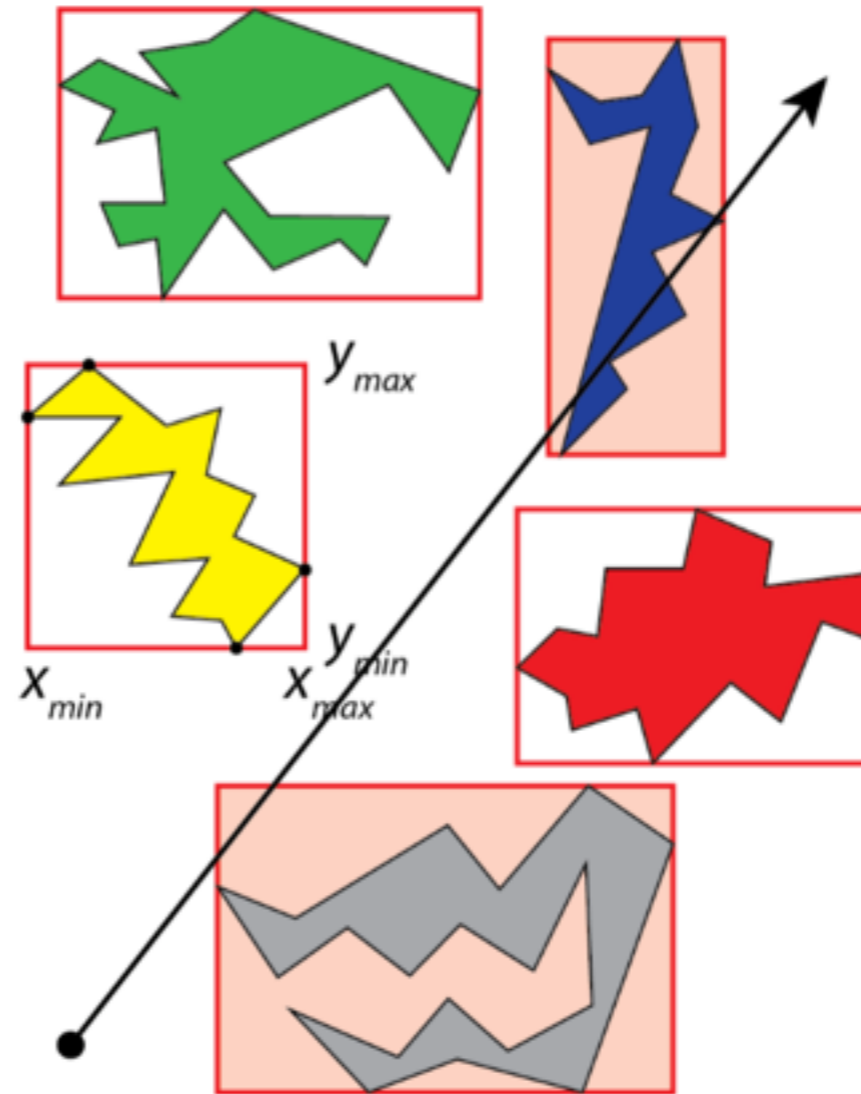
A common technique to improve ray/object intersection query times is the use of **bounding boxes**.



# Bounding boxes

A common technique to improve ray/object intersection query times is the use of **bounding boxes**.

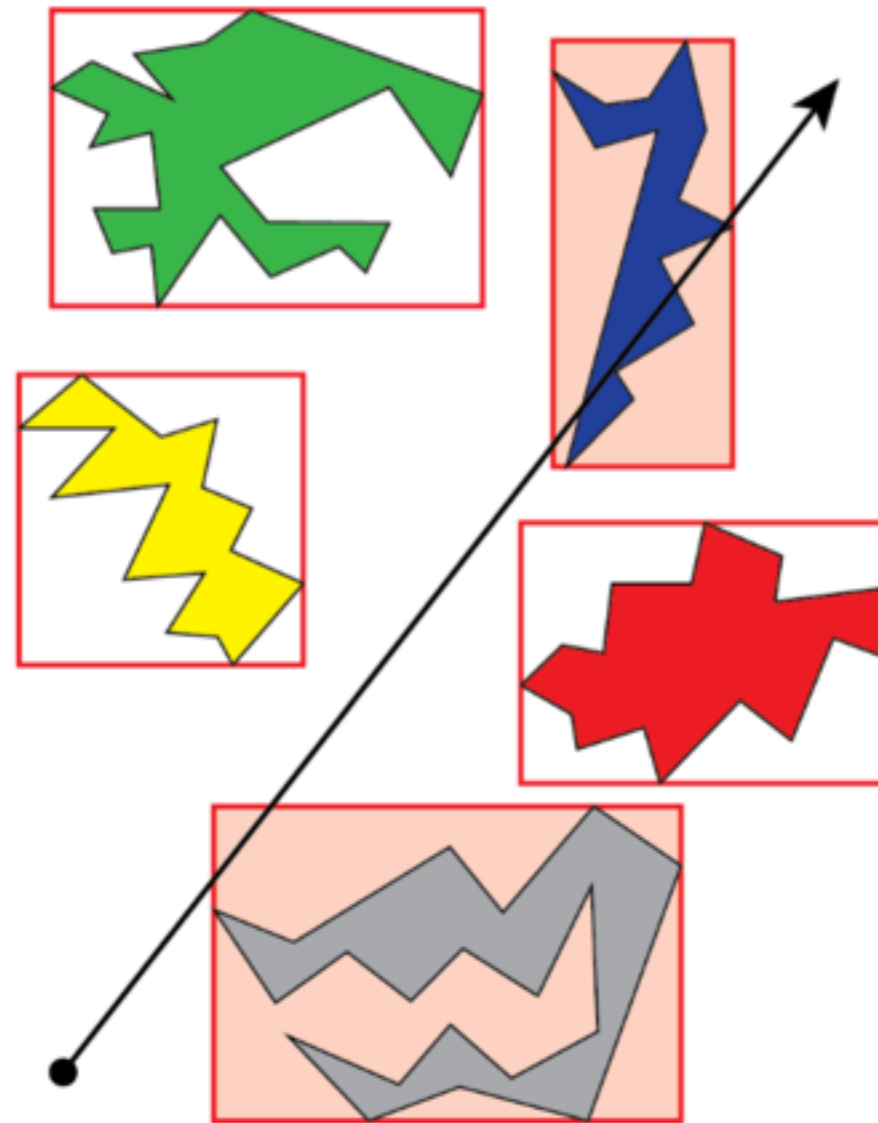
- $\vec{b}_0 = (\min\{x_i\}, \min\{y_j\})$
- $\vec{b}_1 = (\max\{x_i\}, \max\{y_j\})$



# Bounding boxes

Note: We don't need the actual intersection point but just a yes or no answer to the intersection test.

How can we compute this easily?



# Bounding boxes

First, we calculate the intersection of the ray  $\vec{r}$  with the lines defined by the borders of the bounding box.

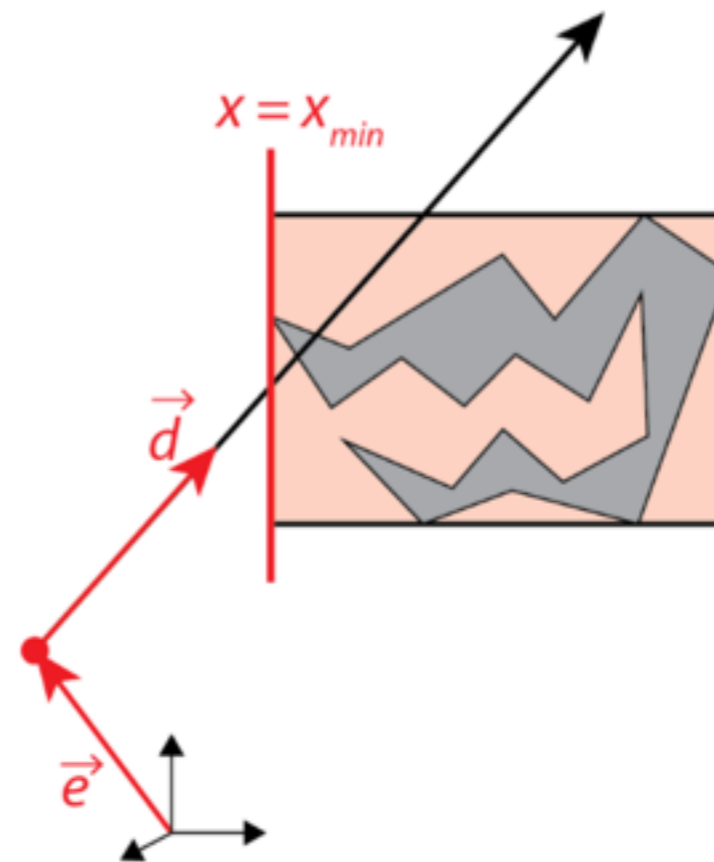
For example for the left border, we have

$$\vec{r}(t) = \begin{pmatrix} x_e \\ y_e \end{pmatrix} + t \begin{pmatrix} x_d \\ y_d \end{pmatrix} \text{ and } x = x_{min}$$

which gives us

$$x_e + t_{x_{min}} x_d = x_{min}$$

$$t_{x_{min}} = (x_{min} - x_e) / x_d$$



# Bounding boxes

Likewise, we get the following values for the other borders of the bounding box:

$$t_{x_{min}} = (x_{min} - x_e) / x_d$$

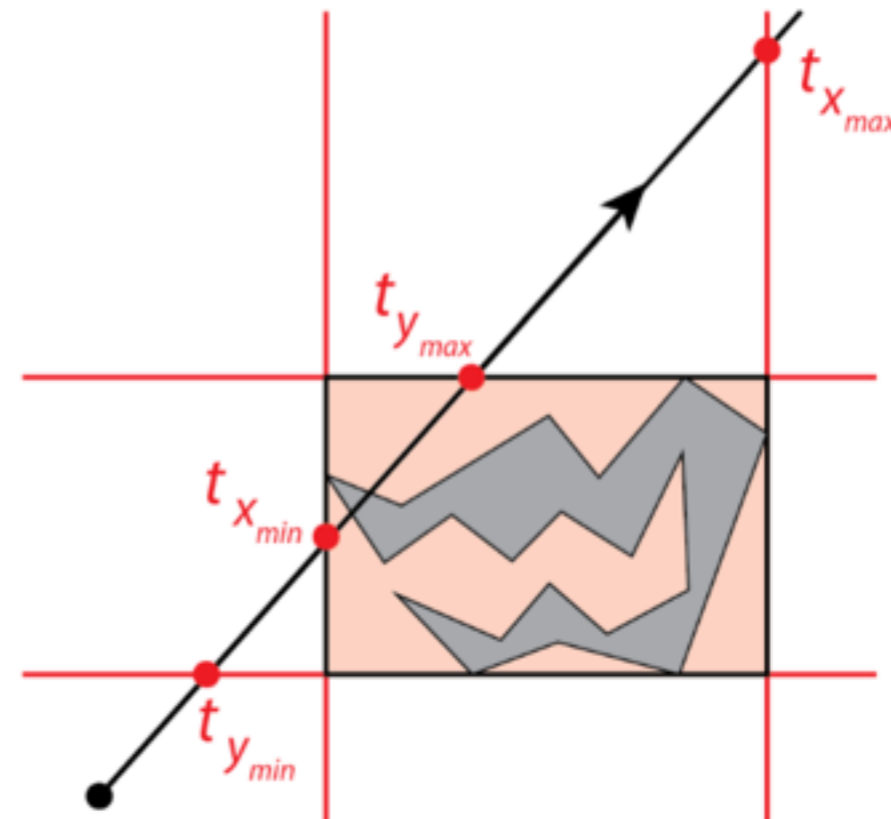
$$t_{x_{max}} = (x_{max} - x_e) / x_d$$

$$t_{y_{min}} = (y_{min} - y_e) / y_d$$

$$t_{y_{max}} = (y_{max} - y_e) / y_d$$

---

Notice that for simplicity we are assuming **positive values** for  $x_d$  and  $y_d$  here. The other cases are symmetrical (exercise!).





# Bounding boxes

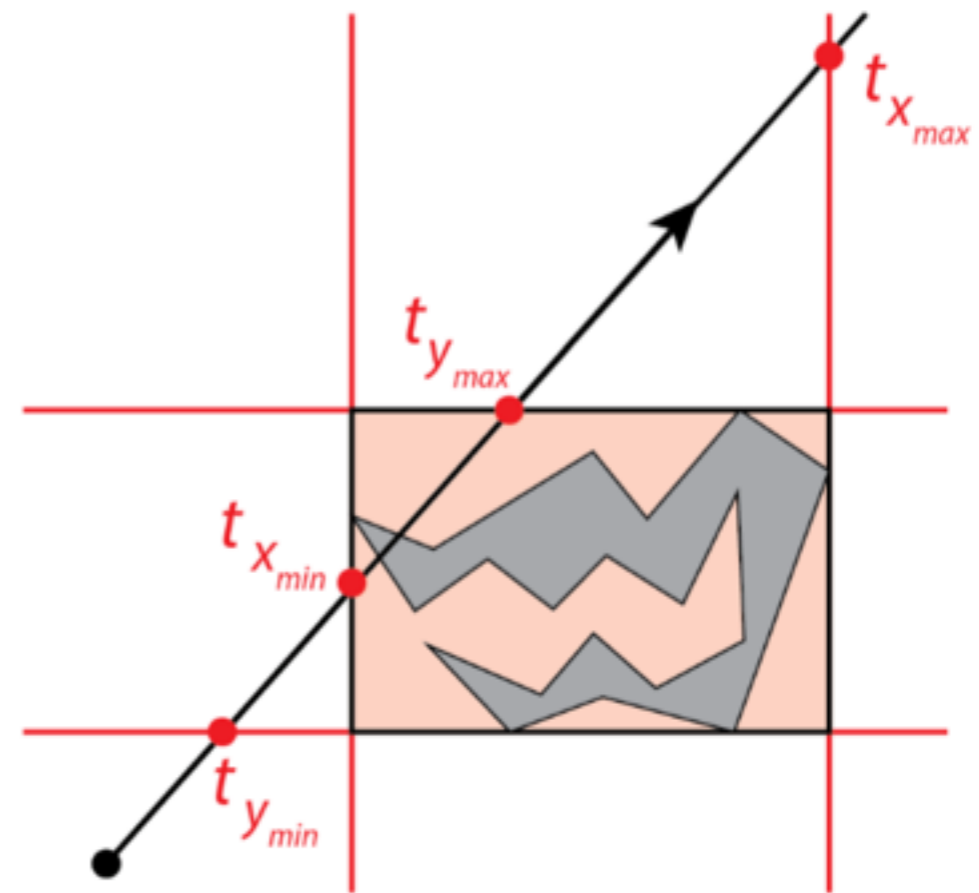
How does this help us in answering the question?

Hint: look at the intervals

$$[t_{x_{min}}, t_{x_{max}}] \quad \text{and} \quad [t_{y_{min}}, t_{y_{max}}]$$

---

Notice that for simplicity we are assuming **positive values** for  $x_d$  and  $y_d$  here. The other cases are symmetrical (exercise!).



# Bounding boxes

By looking at the intervals

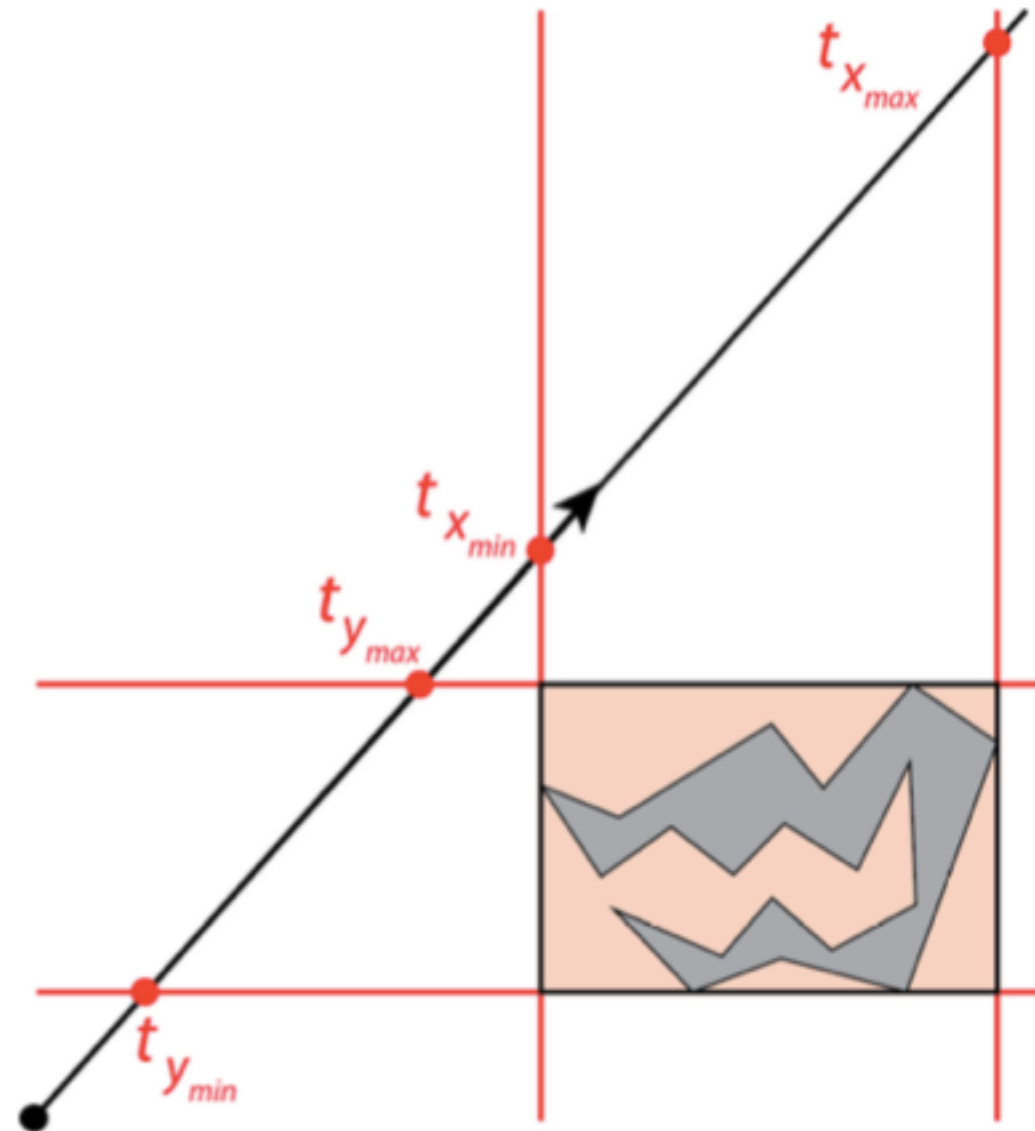
$$[t_{x_{min}}, t_{x_{max}}] \quad \text{and} \\ [t_{y_{min}}, t_{y_{max}}]$$

we see that the ray misses the box if and only if they don't overlap, i.e. if

$$t_{x_{min}} > t_{y_{max}}$$

or

$$t_{y_{min}} > t_{x_{max}}$$

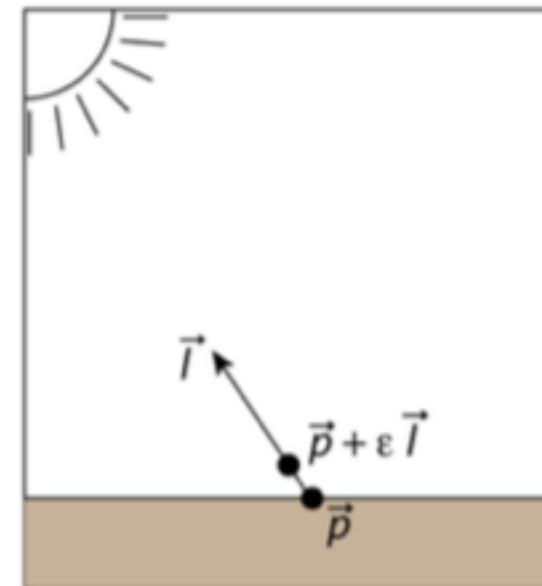
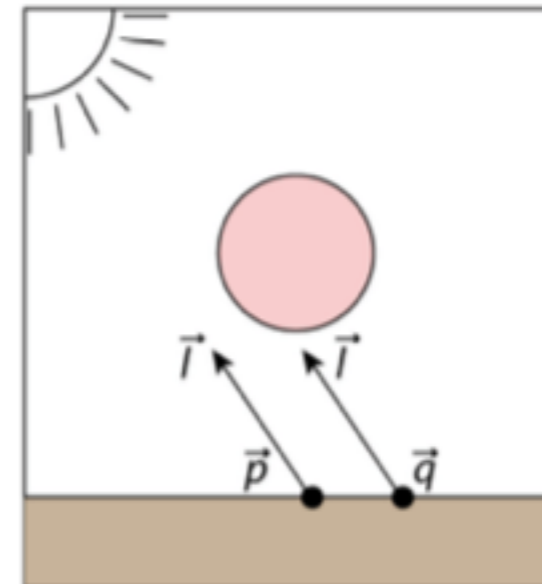


# Shadow feelers

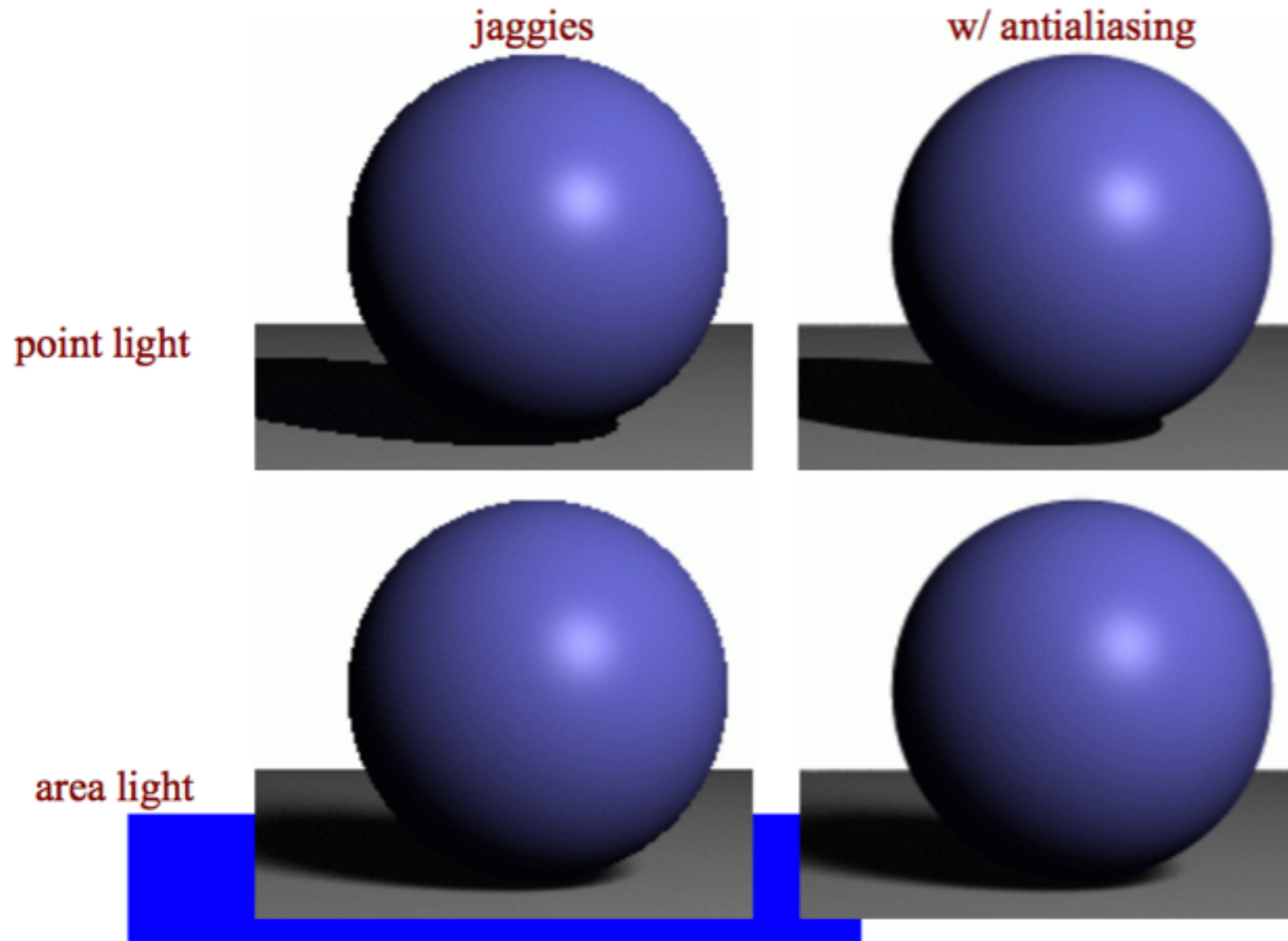
Shadows can be implemented fairly easy by so called **shadow feelers / rays**.

- Shoot a shadow ray  $\vec{p} + t\vec{l}$  from a point  $\vec{p}$  towards a light source  $\vec{l}$ .
- If ray hits an object,  $\vec{p}$  is in the shadow. Otherwise it's not.

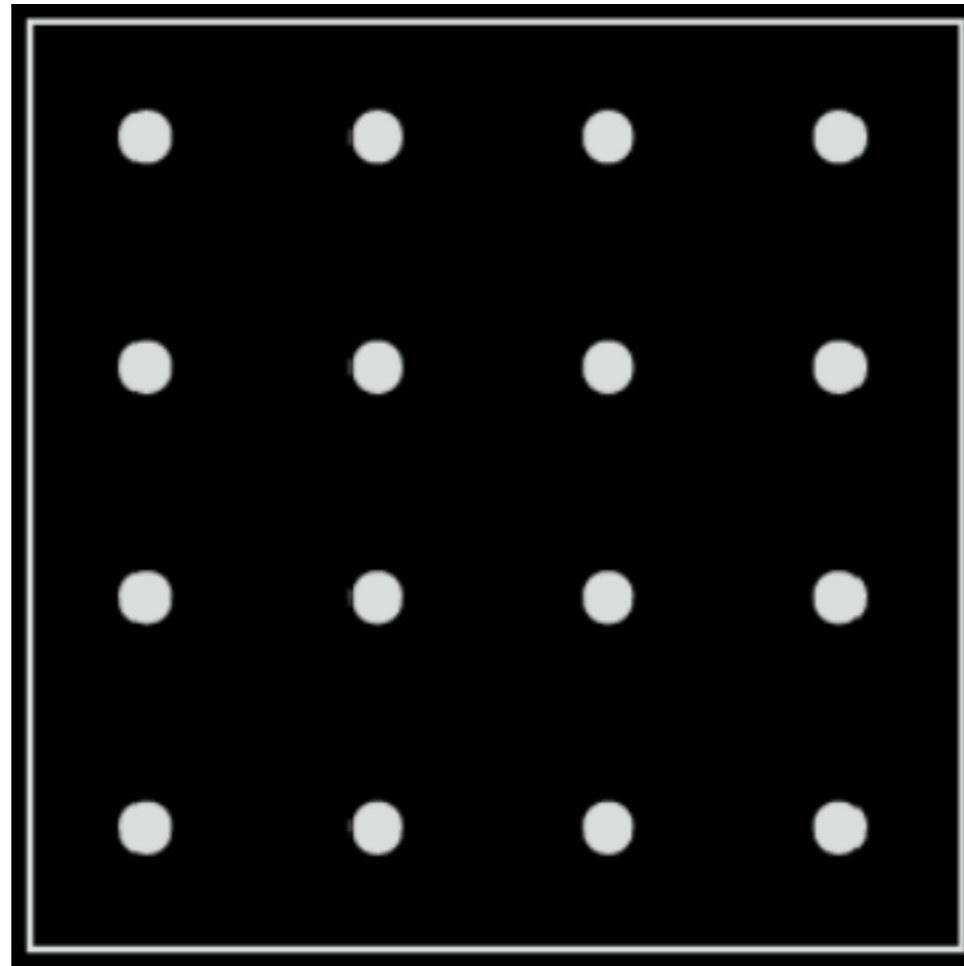
Because of potential precision issues, we often look at point  $\vec{p} + \epsilon\vec{l}$  instead of  $\vec{p}$ .



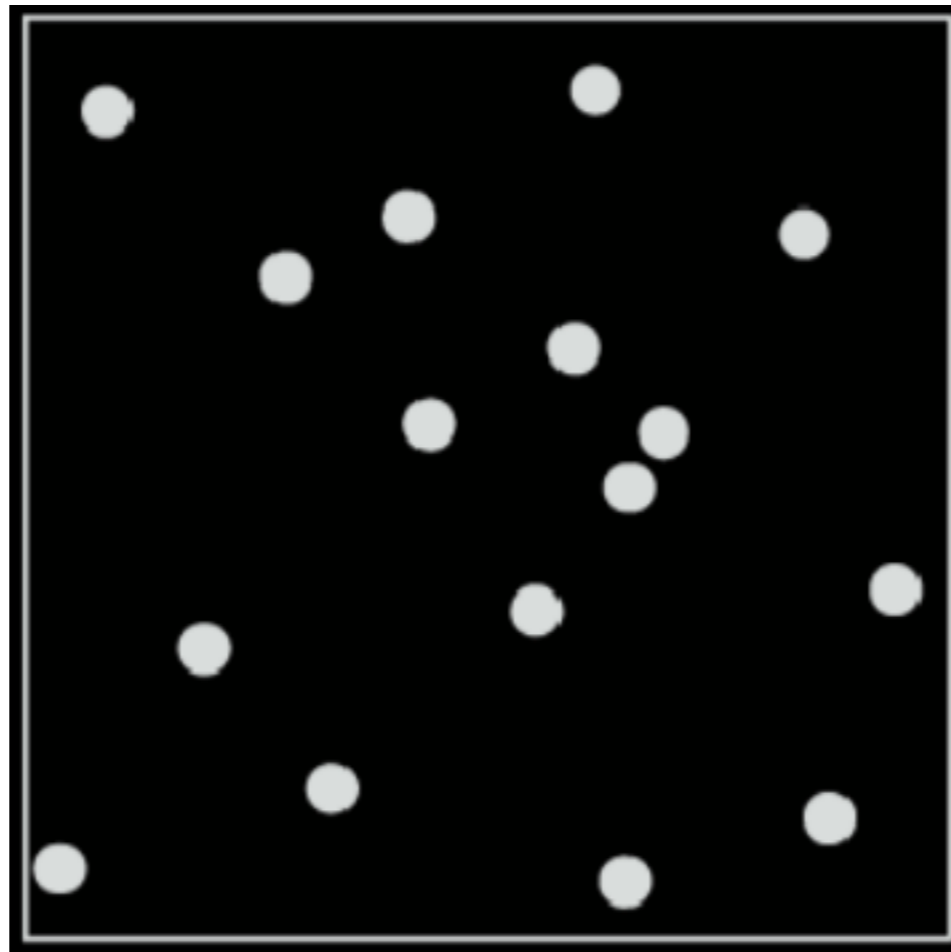
# Antialiasing - Supersampling



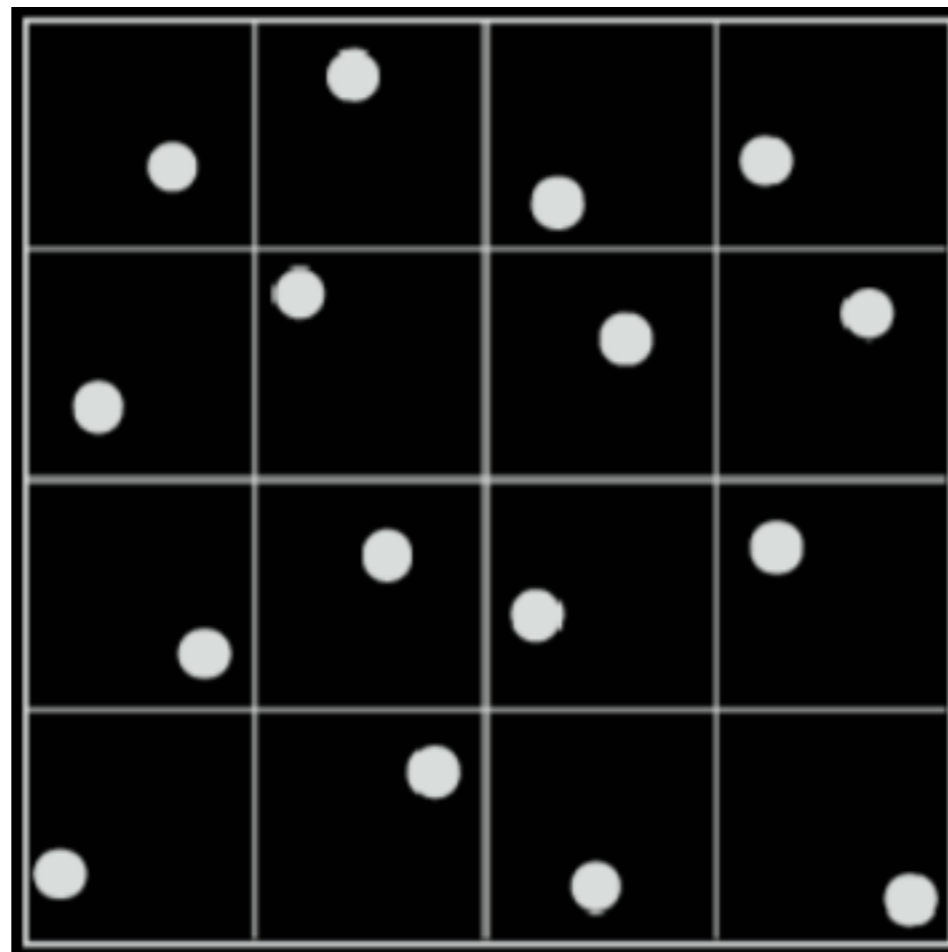
# Antialiasing - Supersampling



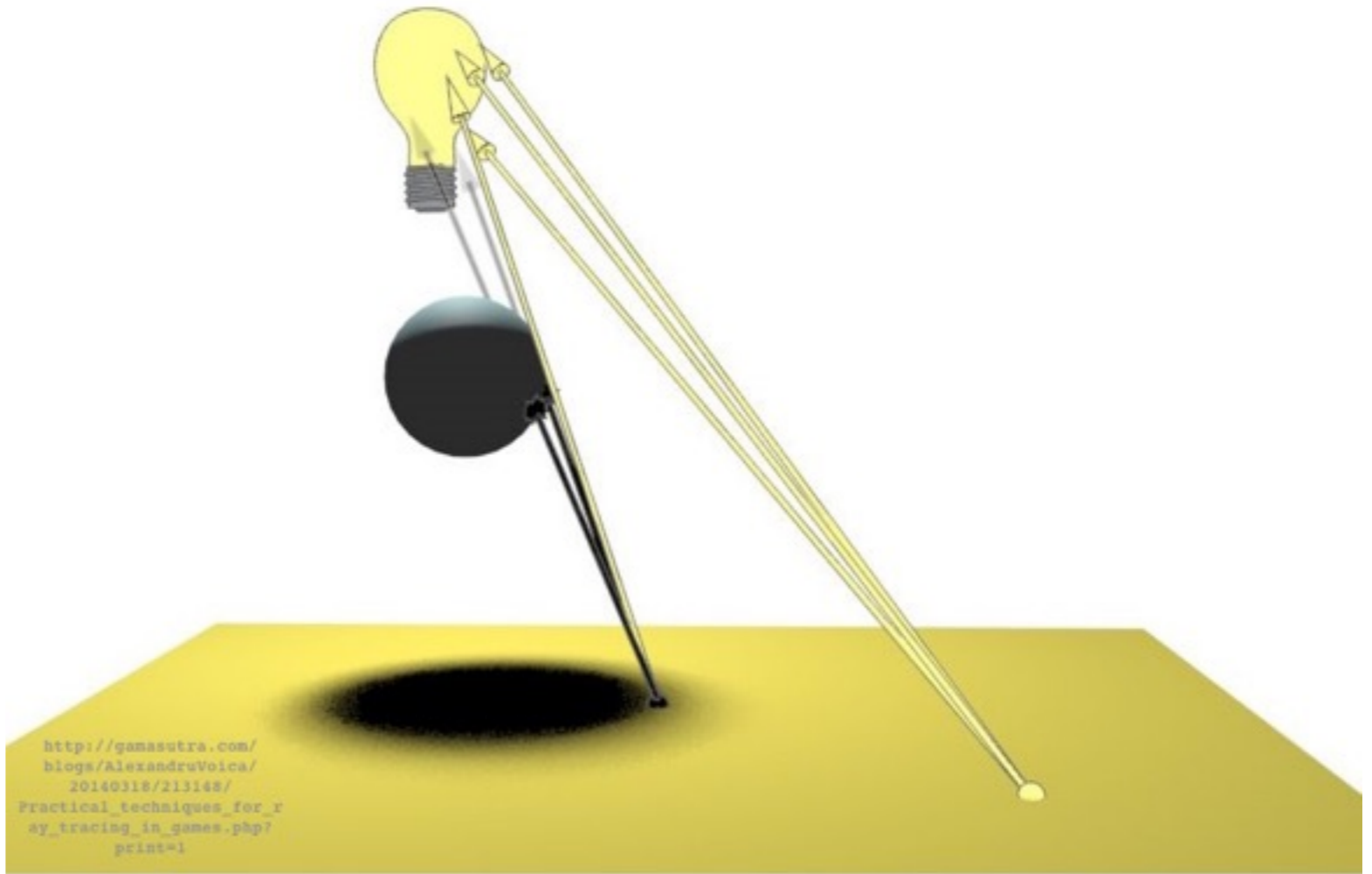
# Antialiasing - Supersampling



# Antialiasing - Supersampling



# Antialiasing - Supersampling





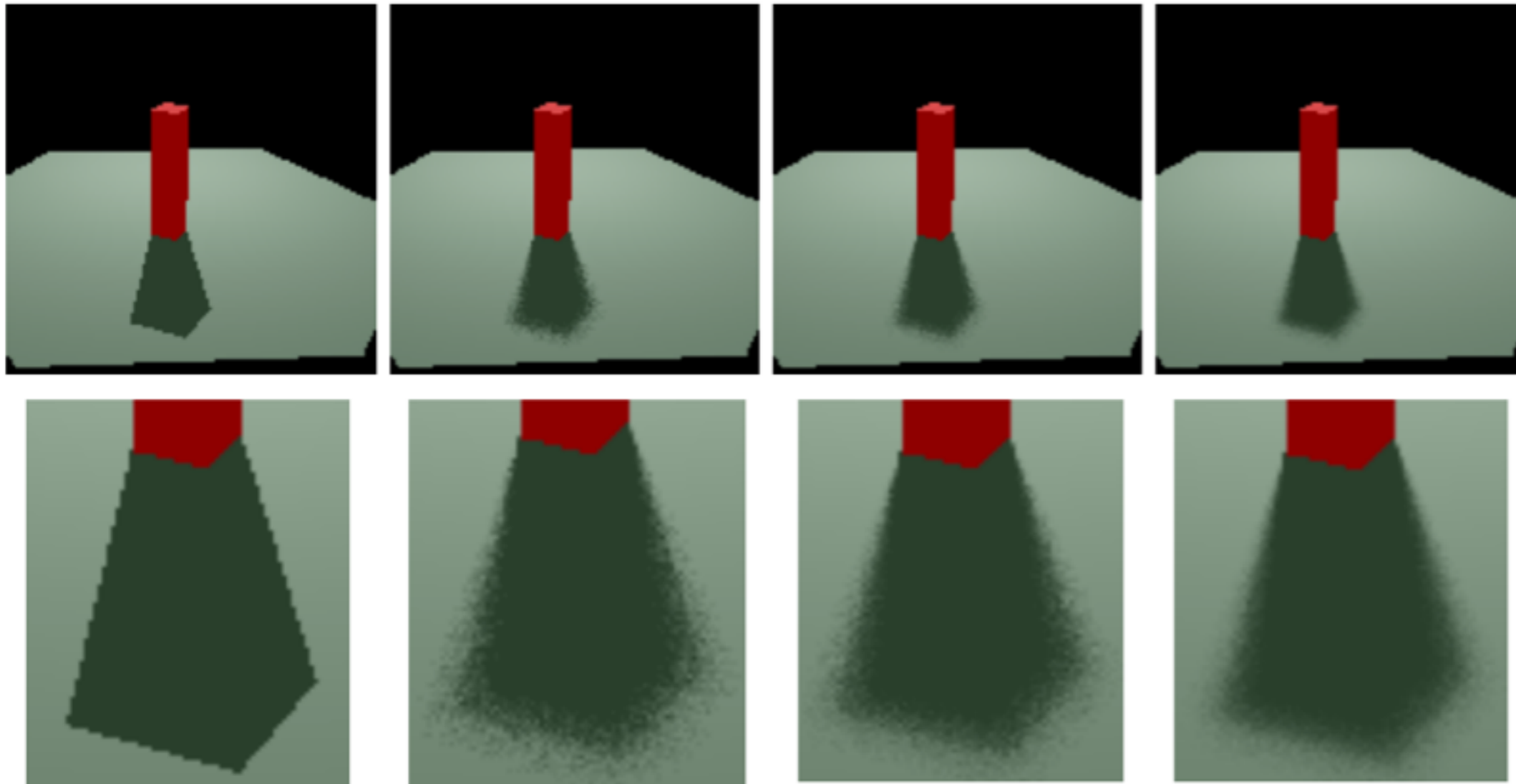
# How many rays do you need?

1 ray/light

10 ray/light

20 ray/light

50 ray/light

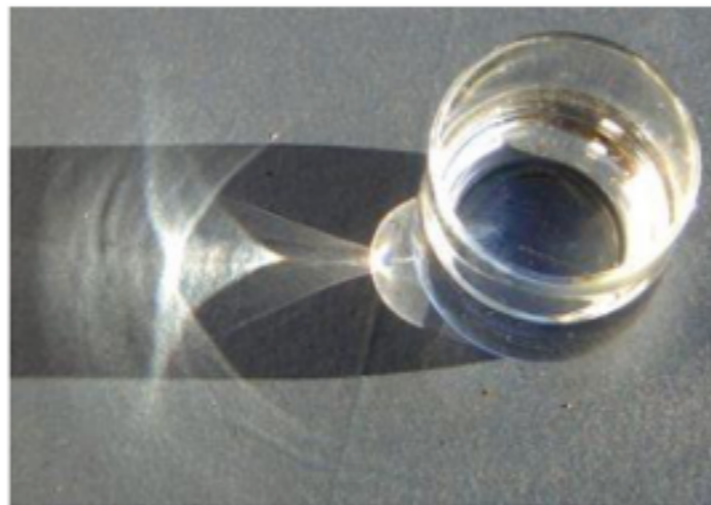


Images taken from [http://web.cs.wpi.edu/~matt/courses/cs563/talks/dist\\_ray/dist.html](http://web.cs.wpi.edu/~matt/courses/cs563/talks/dist_ray/dist.html)

# Ray Tracing Improvements: Image Quality

## Backwards ray tracing

- Trace from the light to the surfaces and then from the eye to the surfaces
- “shower” scene with light and then collect it
- “Where does light go?” vs “Where does light come from?”
- Good for caustics
- Transport  $E - S - S - S - D - S - S - S - L$



# Ray tracing improvements: caustics



# Ray Tracing Improvements: Image Quality

## Cone tracing

- Models some dispersion effects

## Distributed Ray Tracing

- Super sample each ray
- Blurred reflections, refractions
- Soft shadows
- Depth of field
- Motion blur

## Stochastic Ray Tracing